

## **DECISION DOCUMENT NATIONWIDE PERMIT 27**

This document discusses the factors considered by the Corps of Engineers (Corps) during the issuance process for this Nationwide Permit (NWP). This document contains: (1) the public interest review required by Corps regulations at 33 CFR 320.4(a)(1) and (2); (2) a discussion of the environmental considerations necessary to comply with the National Environmental Policy Act; and (3) the impact analysis specified in Subparts C through F of the 404(b)(1) Guidelines (40 CFR Part 230). This evaluation of the NWP includes a discussion of compliance with applicable laws, consideration of public comments, an alternatives analysis, and a general assessment of individual and cumulative environmental effects, including the general potential effects on each of the public interest factors specified at 33 CFR 320.4(a).

### **1.0 Text of the Nationwide Permit**

Aquatic Habitat Restoration, Enhancement, and Establishment Activities. Activities in waters of the United States associated with the restoration, enhancement, and establishment of tidal and non-tidal wetlands and riparian areas, the restoration and enhancement of non-tidal streams and other non-tidal open waters, and the rehabilitation or enhancement of tidal streams, tidal wetlands, and tidal open waters, provided those activities result in net increases in aquatic resource functions and services.

To be authorized by this NWP, the aquatic habitat restoration, enhancement, or establishment activity must be planned, designed, and implemented so that it results in aquatic habitat that resembles an ecological reference. An ecological reference may be based on the characteristics of an intact aquatic habitat or riparian area of the same type that exists in the region. An ecological reference may be based on a conceptual model developed from regional ecological knowledge of the target aquatic habitat type or riparian area.

To the extent that a Corps permit is required, activities authorized by this NWP include, but are not limited to: the removal of accumulated sediments; the installation, removal, and maintenance of small water control structures, dikes, and berms, as well as discharges of dredged or fill material to restore appropriate stream channel configurations after small water control structures, dikes, and berms, are removed; the installation of current deflectors; the enhancement, rehabilitation, or re-establishment of riffle and pool stream structure; the placement of in-stream habitat structures; modifications of the stream bed and/or banks to enhance, rehabilitate, or re-establish stream meanders; the removal of stream barriers, such as undersized culverts, fords, and grade control structures; the backfilling of artificial channels; the removal of existing drainage structures, such as drain tiles, and the filling, blocking, or reshaping of drainage ditches to restore wetland hydrology; the installation of structures or fills necessary to restore or enhance wetland or stream hydrology; the construction of small nesting islands; the construction of open water areas; the construction of oyster habitat over unvegetated bottom in tidal waters; shellfish seeding;

activities needed to reestablish vegetation, including plowing or discing for seed bed preparation and the planting of appropriate wetland species; re-establishment of submerged aquatic vegetation in areas where those plant communities previously existed; re-establishment of tidal wetlands in tidal waters where those wetlands previously existed; mechanized land clearing to remove non-native invasive, exotic, or nuisance vegetation; and other related activities. Only native plant species should be planted at the site.

This NWP authorizes the relocation of non-tidal waters, including non-tidal wetlands and streams, on the project site provided there are net increases in aquatic resource functions and services.

Except for the relocation of non-tidal waters on the project site, this NWP does not authorize the conversion of a stream or natural wetlands to another aquatic habitat type (e.g., the conversion of a stream to wetland or vice versa) or uplands. Changes in wetland plant communities that occur when wetland hydrology is more fully restored during wetland rehabilitation activities are not considered a conversion to another aquatic habitat type. This NWP does not authorize stream channelization. This NWP does not authorize the relocation of tidal waters or the conversion of tidal waters, including tidal wetlands, to other aquatic uses, such as the conversion of tidal wetlands into open water impoundments.

Compensatory mitigation is not required for activities authorized by this NWP since these activities must result in net increases in aquatic resource functions and services.

Reversion. For enhancement, restoration, and establishment activities conducted: (1) In accordance with the terms and conditions of a binding stream or wetland enhancement or restoration agreement, or a wetland establishment agreement, between the landowner and the U.S. Fish and Wildlife Service (FWS), the Natural Resources Conservation Service (NRCS), the Farm Service Agency (FSA), the National Marine Fisheries Service (NMFS), the National Ocean Service (NOS), U.S. Forest Service (USFS), or their designated state cooperating agencies; (2) as voluntary wetland restoration, enhancement, and establishment actions documented by the NRCS or USDA Technical Service Provider pursuant to NRCS Field Office Technical Guide standards; or (3) on reclaimed surface coal mine lands, in accordance with a Surface Mining Control and Reclamation Act permit issued by the Office of Surface Mining Reclamation and Enforcement (OSMRE) or the applicable state agency, this NWP also authorizes any future discharge of dredged or fill material associated with the reversion of the area to its documented prior condition and use (i.e., prior to the restoration, enhancement, or establishment activities). The reversion must occur within five years after expiration of a limited term wetland restoration or establishment agreement or permit, and is authorized in these circumstances even if the discharge occurs after this NWP expires. The five-year reversion limit does not apply to agreements without time limits reached between the landowner and the FWS, NRCS, FSA, NMFS, NOS, USFS, or an appropriate state cooperating agency. This NWP also authorizes discharges of dredged or fill material in waters of the United States for the reversion of wetlands that were restored, enhanced, or established on prior-converted cropland or on uplands, in accordance with a binding agreement between the landowner and NRCS, FSA, FWS, or their designated state cooperating agencies (even though the restoration, enhancement, or establishment activity

did not require a section 404 permit). The prior condition will be documented in the original agreement or permit, and the determination of return to prior conditions will be made by the Federal agency or appropriate state agency executing the agreement or permit. Before conducting any reversion activity the permittee or the appropriate Federal or state agency must notify the district engineer and include the documentation of the prior condition. Once an area has reverted to its prior physical condition, it will be subject to whatever the Corps Regulatory requirements are applicable to that type of land at the time. The requirement that the activity results in a net increase in aquatic resource functions and services does not apply to reversion activities meeting the above conditions. Except for the activities described above, this NWP does not authorize any future discharge of dredged or fill material associated with the reversion of the area to its prior condition. In such cases a separate permit would be required for any reversion.

Reporting. For those activities that do not require pre-construction notification, the permittee must submit to the district engineer a copy of: (1) The binding stream enhancement or restoration agreement or wetland enhancement, restoration, or establishment agreement, or a project description, including project plans and location map; (2) the NRCS or USDA Technical Service Provider documentation for the voluntary stream enhancement or restoration action or wetland restoration, enhancement, or establishment action; or (3) the SMCRA permit issued by OSMRE or the applicable state agency. The report must also include information on baseline ecological conditions on the project site, such as a delineation of wetlands, streams, and/or other aquatic habitats. These documents must be submitted to the district engineer at least 30 days prior to commencing activities in waters of the United States authorized by this NWP.

Notification: The permittee must submit a pre-construction notification to the district engineer prior to commencing any activity (see general condition 32), except for the following activities:

- (1) Activities conducted on non-Federal public lands and private lands, in accordance with the terms and conditions of a binding stream enhancement or restoration agreement or wetland enhancement, restoration, or establishment agreement between the landowner and the FWS, NRCS, FSA, NMFS, NOS, USFS or their designated state cooperating agencies;
- (2) Voluntary stream or wetland restoration or enhancement action, or wetland establishment action, documented by the NRCS or USDA Technical Service Provider pursuant to NRCS Field Office Technical Guide standards; or
- (3) The reclamation of surface coal mine lands, in accordance with an SMCRA permit issued by the OSMRE or the applicable state agency.

However, the permittee must submit a copy of the appropriate documentation to the district engineer to fulfill the reporting requirement. (Authorities: Sections 10 and 404)

Note: This NWP can be used to authorize compensatory mitigation projects, including mitigation banks and in-lieu fee projects. However, this NWP does not authorize the

reversion of an area used for a compensatory mitigation project to its prior condition, since compensatory mitigation is generally intended to be permanent.

### ***1.1 Requirements***

General conditions of the NWP are in the Federal Register notice announcing the issuance of this NWP. Pre-construction notification requirements, additional conditions, limitations, and restrictions are in 33 CFR part 330.

### ***1.2 Statutory Authorities***

- Section 10 of the Rivers and Harbors Act of 1899 (33 U.S.C. 403)
- Section 404 of the Clean Water Act (33 U.S.C. 1344)

### ***1.3 Compliance with Related Laws (33 CFR 320.3)***

#### **1.3.1 General**

NWPs are a type of general permit designed to authorize certain activities that have no more than minimal individual and cumulative adverse environmental effects and generally comply with the related laws cited in 33 CFR 320.3. Activities that result in more than minimal individual and cumulative adverse environmental effects cannot be authorized by NWPs. Individual review of each activity authorized by an NWP will not normally be performed, except when pre-construction notification to the Corps is required or when an applicant requests verification that an activity complies with an NWP. Potential adverse impacts and compliance with the laws cited in 33 CFR 320.3 are controlled by the terms and conditions of each NWP, regional and case-specific conditions, and the review process that is undertaken prior to the issuance of NWPs.

The evaluation of this NWP, and related documentation, considers compliance with each of the following laws, where applicable: Sections 401, 402, and 404 of the Clean Water Act; Section 307(c) of the Coastal Zone Management Act of 1972, as amended; Section 302 of the Marine Protection, Research and Sanctuaries Act of 1972, as amended; the National Environmental Policy Act of 1969; the Fish and Wildlife Act of 1956; the Migratory Marine Game-Fish Act; the Fish and Wildlife Coordination Act, the Federal Power Act of 1920, as amended; the National Historic Preservation Act of 1966; the Interstate Land Sales Full Disclosure Act; the Endangered Species Act; the Deepwater Port Act of 1974; the Marine Mammal Protection Act of 1972; Section 7(a) of the Wild and Scenic Rivers Act; the Ocean Thermal Energy Act of 1980; the National Fishing Enhancement Act of 1984; the Magnuson-Stevens Fishery and Conservation and Management Act, the Bald and Golden Eagle Protection Act; and the Migratory Bird Treaty Act. In addition, compliance of the NWP with other Federal requirements, such as Executive Orders and Federal regulations addressing issues such as floodplains, essential fish habitat, and critical resource waters is

considered.

### 1.3.2 Terms and Conditions

Many NWP have pre-construction notification requirements that trigger case-by-case review of certain activities. Two NWP general conditions require case-by-case review of all activities that may adversely affect Federally-listed endangered or threatened species or historic properties (i.e., general conditions 18 and 20, respectively). General condition 16 restricts the use of NWPs for activities that are located in Federally-designated wild and scenic rivers. None of the NWPs authorize the construction of artificial reefs. General condition 28 prohibits the use of an NWP with other NWPs, except when the acreage loss of waters of the United States does not exceed the highest specified acreage limit of the NWPs used to authorize the single and complete project.

In some cases, activities authorized by an NWP may require other federal, state, or local authorizations. Examples of such cases include, but are not limited to: activities that are in marine sanctuaries or affect marine sanctuaries or marine mammals; the ownership, construction, location, and operation of ocean thermal conversion facilities or deep water ports beyond the territorial seas; activities that result in discharges of dredged or fill material into waters of the United States and require Clean Water Act Section 401 water quality certification; or activities in a state operating under a coastal zone management program approved by the Secretary of Commerce under the Coastal Zone Management Act. In such cases, a provision of the NWPs states that an NWP does not obviate the need to obtain other authorizations required by law. [33 CFR 330.4(b)(2)]

Additional safeguards include provisions that allow the Chief of Engineers, division engineers, and/or district engineers to: assert discretionary authority and require an individual permit for a specific activity; modify NWPs for specific activities by adding special conditions on a case-by-case basis; add conditions on a regional or nationwide basis to certain NWPs; or take action to suspend or revoke an NWP or NWP authorization for activities within a region or state. Regional conditions are imposed to protect important regional concerns and resources. [33 CFR 330.4(e) and 330.5]

### 1.3.3 Review Process

The analyses in this document and the coordination that was undertaken prior to the issuance of the NWP fulfill the requirements of the National Environmental Policy Act (NEPA), the Fish and Wildlife Coordination Act, and other acts promulgated to protect the quality of the environment.

All NWPs that authorize activities that may result in discharges into waters of the United States require water quality certification. NWPs that authorize activities within, or affecting land or water uses within a state that has a Federally-approved coastal zone management program, must also be certified as consistent with the state's program. The procedures to ensure that the NWPs comply with these laws are described in 33 CFR 330.4(c) and (d), respectively.

#### ***1.4 Public Comment and Response***

For a summary of the public comments received in response to the June 1, 2016, Federal Register notice, refer to the preamble in the Federal Register notice announcing the reissuance of this NWP. The substantive comments received in response to the June 1, 2016, Federal Register notice were used to improve the NWP by changing NWP terms and limits, pre-construction notification requirements, and/or NWP general conditions, as necessary.

We did not propose any changes to this NWP. One commenter objected to the reissuance of this NWP, stating that the authorized activities do not produce benefits. Many commenters supported the reissuance of this NWP.

One of the basic requirements of this NWP is that the aquatic habitat restoration, enhancement, or establishment activity must result in a net gain in aquatic resource functions and services. It will take time for these increases in aquatic resource functions and services to occur, as the treated area undergoes ecosystem development processes after the restoration, enhancement, or establishment activity takes place.

A number of commenters said that there have been activities, such as bank stabilization activities and wetland or stream conversion activities that are not aquatic habitat restoration, enhancement, or establishment activities but that have been verified as being authorized by NWP 27. These commenters suggested modifying this NWP to make it clear that project proponents should seek DA authorization for those activities through other NWPs, regional general permits, or individual permits instead of NWP 27. A few commenters said that this NWP should not authorize the conversion of wetlands, streams, or other aquatic resources to other aquatic resource types (e.g., installing water control structures in headwater streams to construct wetland impoundments) to reduce sediments, nutrients, and other pollutants subject to Total Daily Maximum Loads (TMDLs) established under section 303(d) of the Clean Water Act. One commenter said that NWP 27 should not be used to authorize activities that are more appropriately authorized by NWPs 13 (bank stabilization) or 43 (stormwater management facilities).

To address those concerns, we have added a paragraph to NWP 27 to state that aquatic habitat restoration, enhancement, and establishment activities authorized by this NWP must be based on ecological references. This change makes it clear that NWP 27 does not authorize bank stabilization activities (including living shorelines to control erosion), stormwater management activities, and pollutant-reduction best management practice facilities constructed to meet TMDLs established under section 303(d) of the Clean Water Act. In coastal waters, living shorelines can be authorized by the new NWP 54. Living shorelines that use stone sills, breakwaters, or other types of structures do not resemble natural shorelines (Pilkey et al. 2012). In inland waters, vegetative or bioengineering bank stabilization activities may be authorized by NWP 13. We are modifying NWP 43 to authorize discharges of dredged or fill material into waters of the United States to construct and/or maintain pollutant reduction best management practice facilities that reduce inputs of

pollutants to waterbodies to meet the TMDLs established for those waterbodies.

Ecological references are often used for aquatic habitat and riparian area restoration, enhancement, or establishment activities because they can provide templates for planning and designing those activities to resemble natural aquatic habitats or riparian areas (Smith et al. 2013, Society for Ecological Restoration (SER) 2004). Ecological references can help assess the naturalness of aquatic habitats and riparian areas and can take into account the direct and indirect effects of human disturbances and other activities on ecosystem structure, dynamics, and functions (Stoddard et al. 2006). There are a variety of approaches for using ecological references for planning, designing, and implementing ecological restoration activities (Clewell and Aronson 2013, chapter 7), including aquatic habitat restoration, enhancement, and establishment activities, as well as riparian area restoration and enhancement activities. Ecological references should take into account the range of variation exhibited by the target ecosystem type in the region (SER 2004).

For the purposes of this particular modification of NWP 27, we suggest a couple of approaches for using ecological references. Project proponents can use either of the suggested approaches or other ecological reference approaches. One suggested approach is to identify and use ecological references based on the structure, functions, and dynamics of aquatic habitats and riparian areas that currently exist in the region where the NWP 27 activity is proposed. The appropriate region can be determined through discussions with the district engineer. The ecological reference should be the same type (e.g., forested wetland, emergent tidal wetland, forested riparian area) as the aquatic habitat or riparian area that is the outcome target of the proposed NWP 27 activity.

Another suggested approach is to construct an ecological reference based on a conceptual model for the aquatic habitat type or riparian area type to be restored, enhanced, or established as a result of the NWP 27 activity. The conceptual model can be simple, and consist of a mental picture of the structure, functions, and dynamics of the desired type of aquatic habitat or riparian area (Clewell and Aronson 2013). That mental picture can be based on various information sources (Clewell and Aronson 2013) and take into account the historic range of variation for the target habitat type (SER 2004). In other words, the conceptual model used as an ecological reference would be based on knowledge of the natural aquatic habitats or riparian areas of the same type that are, or were, found in the region.

One commenter requested that we modify NWP 27 to authorize certain activities identified in watershed implementation plans to meet TMDL requirements, such as activities to reduce sediment and nutrient inputs to waters. This commenter said that modifying NWP 27 to authorize these activities without an acreage limit would provide a streamlined authorization process for these TMDL-related restoration activities. This commenter asked that the Corps modify NWP 27 to allow conversions of one aquatic habitat type to another (e.g., forested wetland to emergent wetland) as long as there will be a net increase in aquatic resource functions and services. This commenter pointed to the change in NWP 27 that was made in 2012 to allow changes in plant communities resulting from restoring wetland hydrology. This commenter also said that NWP 27 should authorize stream restoration activities that will reduce sediment and nutrient inputs to waters to meet TMDL requirements.

Aquatic habitat restoration, enhancement, and establishment activities can help reduce inputs of sediment, nutrients, and other pollutants to waterbodies, but they are only authorized by NWP 27 if they will result in net increases in aquatic resource functions and services, do not involve prohibited conversions, and resemble ecological references. For example, the re-establishment of upland or wetland riparian areas next to a stream can reduce inputs of sediment and nutrients to the stream by physical and biogeochemical processes, and can be authorized by NWP 27 if those activities involve discharges of dredged or fill material into jurisdictional waters and wetlands. In contrast, the constructing a dam or other structure across a headwater stream to establish a wetland that will trap sediments and transform nutrients is conversion of aquatic habitat type that is not authorized by NWP 27. The latter activity might be authorized by the reissuance and modified NWP 43.

There is likely to be differences in opinion in whether conversions of forested wetlands to emergent wetlands, other types of aquatic habitat conversions, or aquatic habitat enhancement activities will result in net increases in aquatic resource functions and services. The full suite of aquatic habitat functions and services must be considered when determining whether the net gains in aquatic resource functions and services required by this NWP will occur. When conducting these evaluations to determine NWP 27 eligibility, there should not be a focus on a specific aquatic resource function, or the ecological service(s) produced from that aquatic resource function. To assist district engineers in making these determinations, prospective permittees considering such activities should provide supporting information in their NWP 27 PCNs or reports to demonstrate net increases in aquatic resource functions and services.

The provision in the fourth paragraph of this NWP that states that changes in plant communities resulting from restoring wetland hydrology are acceptable under this NWP was added to take into account the fact that restoring wetland hydrology has a high likelihood of changing the plant community, and such changes are usually an objective of those wetland restoration activities. A stream restoration activity that also helps reduce sediment, nutrient, and pollutant inputs to downstream waters and helps meet established TMDLs can be authorized by this NWP, as long as the restored stream will resemble an ecological reference for that stream type in the region.

Activities intended to address TMDLs for nutrients, sediment, and other pollutants that are not aquatic habitat or riparian restoration, enhancement, or establishment activities based on ecological references may be authorized by NWP 43, which has a 1/2-acre limit for losses of non-tidal waters of the United States. Activities in tidal waters and wetlands intended to address TMDLs that are not authorized by NWP 27 may be authorized by other NWPs, regional general permits, or individual permits.

One commenter asked for more specific examples of the types of projects that can be authorized by NWP 27. One commenter stated that this NWP should authorize the conversion of one wetland type to another type to support enhancement of a specific function. One commenter said that this NWP should be modified to allow sidecasting of material removed from a wetland into adjacent wetlands, if the affected area would still be a wetland. One commenter suggested adding low head dam removal to the types of activities



authorized by this NWP. One commenter said this NWP should authorize the installation of riprap or other energy dissipation measures immediately adjacent to dikes, berms, and water control structures. One commenter requested that the Corps add “the removal of stream barriers, such as undersized culverts, fords, and grade control structures” to the list of examples of activities authorized by NWP 27.

This NWP already has a comprehensive list of examples of aquatic habitat restoration, enhancement, and establishment activities that can be authorized by this NWP. This NWP only authorizes the relocation of non-tidal waters, including non-tidal wetlands, on the project site. The enhancement of a specific wetland function may cause the loss of, or reduce, other wetland functions; to be authorized by this NWP an aquatic habitat enhancement activity must result in a net gain in aquatic resource functions and services. If the restoration of wetland hydrology results in a change in wetland plant community that resembles reference wetlands in the region that have that hydrologic regime, we do not consider that activity to be a conversion of wetland type. The sidecasting of excavated material into jurisdictional waters and wetlands as part of the wetland restoration, enhancement, or establishment activity is authorized by this NWP as long as the activity will result in a net increase in wetland functions and services.

The removal of low-head dams is authorized by NWP 53 (see below). The removal of small water control structures, dikes, and berms is still authorized by NWP 27, and these small structures will typically be found in headwater streams. The removal of low-head dams authorized by NWP 53 is not limited to headwater streams. This NWP can be used to authorize the placement of riprap in jurisdictional waters and wetlands as long as it is part of an aquatic habitat restoration, enhancement, or establishment activity that will result in net increases in aquatic resource functions and services. We have added “the removal of stream barriers, such as undersized culverts, fords, and grade control structures” to the list of examples of activities authorized by this NWP.

One commenter said this NWP should limit the linear feet of riprap placed for bank stabilization projects that also have a restoration purpose. If bank stabilization is the primary purpose of the proposed activity, then that activity should be considered for authorization by NWPs 13 or 54. Aquatic habitat restoration, enhancement, or establishment activities may require the placement of some riprap as part of the overall activity to increase aquatic resource functions and services. For NWP 27 activities, we do not believe that it is necessary to place a limit on the length of riprap placed in jurisdictional waters and wetlands. The appropriate amount will depend on the specific activity authorized by NWP 27.

One commenter said that all NWP 27 activities convert one wetland to another, and suggested revising this NWP by removing the language regarding aquatic habitat conversions and simply require a net increase in aquatic resource function and services, regardless of the impacts. Several commenters stated that this NWP should authorize conversions of streams to wetlands that diversify wetland habitats, with an acreage limit on those conversions. One commenter said this NWP should be modified to allow the conversion of forested wetlands to emergent wetlands. One commenter requested examples of when is it appropriate to use NWP 27 to authorizes the relocation of non-tidal waters.

Wetland restoration activities can involve conversions in wetland type, and those conversions are authorized by this NWP if they result from removing one or more impairments that are preventing the former wetland or degraded or disturbed wetland from returning to its pre-impairment structure, functions, and dynamics. Ecological restoration activities should result in a damaged or degraded wetland, stream, or riparian area resuming its historic ecological development trajectory under contemporary environmental conditions (SER 2004). The prohibition against conversions in the fourth paragraph of this NWP focuses on conversions of wetlands to streams or the conversions of natural wetlands to other aquatic habitat types. The prohibition against conversions of natural wetlands, and the general requirement that NWP 27 activities result in net increase in aquatic resource functions and services are intended to prohibit wetland enhancement activities that would improve one or two wetland functions but cause substantial declines in other wetland functions.

Streams perform a number of important ecological functions and services (e.g., Fischenich 2006) and modifying this NWP to authorize the conversion of streams to wetlands would result in losses of those stream functions and services. Forested wetlands also perform a number of functions and services that differ substantially from those performed by emergent wetlands. Project proponents that believe that the ecological trade-offs that would occur as a result of converting streams to wetlands, or converting forested wetlands to emergent wetlands are desirable can seek DA authorization for those activities under another NWP, a regional general permit, or an individual permit. A project proponent who is uncertain whether proposed relocations of non-tidal wetlands on a site would qualify for NWP 27 authorization should contact the appropriate Corps district to schedule a pre-application consultation.

One commenter said that NWP 27 should not allow the reversion of enhanced wetlands if the wetland enhancement was done to fulfill compensatory mitigation requirements. This commenter also said that activities completed under this NWP should not be allowed to be filled at a later date. One commenter expressed concern about the that he reversion provision, stating that it gives landowners a loophole to convert wetlands to other uses.

The reversion provision in this NWP only applies to the specific categories of agreements or activities listed in that paragraph. Those agreements or activities do not include compensatory mitigation projects required as conditions of DA permits. If there are jurisdictional waters and wetlands on the site after the authorized reversion is completed, then a separate DA authorization would be required if the project proponent wants to do activities that require authorization under section 404 of the Clean Water Act and/or section 10 of the Rivers and Harbors Act of 1899. The reversion provision is not a loophole because it is intended to allow the affected land to revert to its prior condition when appropriate. Aquatic habitat restoration, enhancement, and establishment activities that are intended to be implemented only for a limited period of time still provide important ecosystem functions and services while they are in place.

Many commenters said there should be no changes to the PCN thresholds for this NWP. One commenter stated that the activities that require reporting should require PCNs instead. Two commenters recommended eliminating the PCN requirement for activities conducted

on non-federal public and private lands in accordance with the terms and conditions of a binding restoration agreement between the U.S. Fish and Wildlife Service, Natural Resources Conservation Service, Farm Service Agency, National Marine Fisheries Service, National Ocean Service, U.S. Forest Service, or state agencies. One commenter said that if the PCN does not clearly state the purpose of the restoration project, the Corps should require a detailed explanation of the increases in aquatic resource functions and services that will be provided, and seek input from the public and interest groups.

We are not making any changes to the PCN thresholds or reporting requirements for this NWP. We believe the current PCN thresholds and reporting requirements are sufficient to provide assurance that proposed activities will comply with the terms and conditions of this NWP. The PCN and reporting requirements provide an important mechanism for ensuring that NWP 27 activities are aquatic habitat restoration, establishment, and enhancement activities that result in net increases in aquatic resource functions and services. As stated above, we received a number of comments expressing concern about the use of NWP 27 for activities that are not aquatic resource restoration, enhancement, or establishment activities but serve other intended purposes. Those concerns validate the need to continue the current PCN and reporting requirements. When a Corps district reviews a PCN or a report for a proposed NWP 27 activity, if the information in the PCN or report does not clearly show that the proposed activity will result in net increases in aquatic resource functions and services, the district can request additional information from the project proponent. For specific activities authorized by NWP 27 or any other NWP, the Corps does not issue public notices to solicit public comment. Public comment is sought during the rulemaking process to issue, reissue, or modify NWPs.

One commenter said that this NWP should require best management practices to avoid sediment loading and introduction of excess sediment into jurisdictional waters and wetlands. One commenter stated that this NWP should require an analysis of impacts to downstream communities, especially communities inhabited by threatened and endangered species. One commenter recommended adding a provision prohibiting activities that impact federally listed plant species.

Activities authorized by this NWP must comply with general condition 12, soil erosion and sediment controls, to ensure that there are not excessive amounts of sediment being released to jurisdictional waters and wetlands as a result of these activities. Any non-federal permittee proposing an NWP 27 activity that might affect ESA-listed species or designated critical habitat, is in the vicinity of listed species or designated critical habitat, or is in designated critical habitat must submit a PCN instead of a report. The “might affect” threshold in paragraph (c) of general condition 18, endangered species, includes direct and indirect effects anticipated to be caused by the NWP activity, including downstream indirect effects caused by the NWP activity. The requirements of general condition 18 apply to federally listed plant species under the ESA.

One asked why the Corps oversees NWP 27 activities because many other state agencies have stream restoration programs. One commenter asserted that NWP 27 should not be used to authorize mitigation banks. One commenter stated that requiring monitoring plans for NWP 27 activities places an undue burden on the applicant, especially if the intent was to

restore a wetland. One commenter recommended adding to the text of this NWP an explanation of which aquatic habitat restoration, enhancement, or establishment activities may be eligible for Clean Water Act section 404(f) exemptions. One commenter asked if this NWP authorizes the removal of bulkheads, derelict structures, and piles.

We require PCNs or reporting for all NWP 27 activities to ensure the proposed activities comply with the terms and conditions of this permit, especially the requirement that authorized activities result in net increases in aquatic resource functions and services. While there are a number of states that implement stream restoration programs, there is still much debate over the most appropriate methods to use to restore streams. Therefore, the Corps' review is necessary to ensure that proposed stream restoration activities in jurisdictional waters and wetlands are authorized by this NWP. We will continue to use of NWP 27 to authorize regulated activities associated with the construction and management of approved mitigation banks. Nationwide permit 27 may also be used to authorize aquatic habitat restoration, enhancement, and establishment activities for in-lieu fee projects. Under the requirements of 33 CFR 332.8(d), all proposed mitigation banks and in-lieu fee programs must go through a public notice and comment process, as well as interagency review.

If NWP 27 is used to authorize discharges of dredged or fill material into waters of the United States and/or structures or work in navigable waters of the United States to conduct a compensatory mitigation project required as conditions of a DA permit, monitoring will be required (see 33 CFR 332.6). If an NWP 27 activity is not being conducted as compensatory mitigation to fulfill the requirements for a DA permit, then monitoring may or may not be required, depending on the activity-specific circumstances. Monitoring of NWP 27 activities can provide information useful to other practitioners of aquatic habitat restoration, enhancement, or establishment activities, but it is optional unless the district engineer imposes conditions in the NWP verification to require monitoring.

In general, the Clean Water Act section 404(f) exemptions do not have much applicability to NWP 27 activities, with the possible exception of maintenance activities. Therefore, we do not believe that there needs to be text added to this NWP to explain when the Clean Water Act section 404(f) exemptions might apply to aquatic habitat restoration, enhancement, and establishment activities. The removal of bulkheads, derelict structures, and piles could be authorized by this NWP if that removal is a component of the aquatic habitat restoration or enhancement activity, such as a wetland restoration activity in estuarine waters. The removal of those structures may also be authorized by NWP 3.

## **2.0 Alternatives**

This evaluation includes an analysis of alternatives based on the requirements of NEPA, which requires a more expansive review than the Clean Water Act Section 404(b)(1) Guidelines. The alternatives discussed below are based on an analysis of the potential environmental impacts and impacts to the Corps, Federal, Tribal, and state resource agencies, general public, and prospective permittees. Since the consideration of off-site alternatives under the 404(b)(1) Guidelines does not apply to specific projects authorized by general permits, the alternatives analysis discussed below consists of a general NEPA

alternatives analysis for the NWP.

### ***2.1 No Action Alternative (No Nationwide Permit)***

The no action alternative would not achieve one of the goals of the Corps Nationwide Permit Program, which is to reduce the regulatory burden on applicants for activities that result in no more than minimal individual and cumulative adverse environmental effects. The no action alternative would also reduce the Corps ability to pursue the current level of review for other activities that have greater adverse effects on the aquatic environment, including activities that require individual permits as a result of the Corps exercising its discretionary authority under the NWP program. The no action alternative would also reduce the Corps ability to conduct compliance actions.

If this NWP is not available, substantial additional resources would be required for the Corps to evaluate these minor activities through the individual permit process, and for the public and Federal, Tribal, and state resource agencies to review and comment on the large number of public notices for these activities. In a considerable majority of cases, when the Corps publishes public notices for proposed activities that result in no more than minimal adverse environmental effects, the Corps typically does not receive responses to these public notices from either the public or Federal, Tribal, and state resource agencies. Another important benefit of the NWP program that would not be achieved through the no action alternative is the incentive for project proponents to design their projects so that those activities meet the terms and conditions of an NWP. The Corps believes the NWPs have significantly reduced adverse effects to the aquatic environment because most applicants modify their projects to comply with the NWPs and avoid the delays and costs typically associated with the individual permit process.

In the absence of this NWP, Department of the Army (DA) authorization in the form of another general permit (i.e., regional or programmatic general permits, where available) or individual permits would be required. Corps district offices may develop regional general permits if an NWP is not available, but this is an impractical and inefficient method for activities with no more than minimal individual and cumulative adverse environmental effects that are conducted across the Nation. Not all districts would develop these regional general permits for a variety of reasons. The regulated public, especially those companies that conduct activities in more than one Corps district, would be adversely affected by the widespread use of regional general permits because of the greater potential for lack of consistency and predictability in the authorization of similar activities with no more than minimal individual and cumulative adverse environmental effects. These companies would incur greater costs in their efforts to comply with different regional general permit requirements between Corps districts. Nevertheless, in some states Corps districts have issued programmatic general permits to take the place of this and other NWPs. However, this approach only works in states with regulatory programs comparable to the Corps Regulatory Program.

## ***2.2 National Modification Alternatives***

Since the Corps Nationwide Permit program began in 1977, the Corps has continuously strived to develop NWP that only authorize activities that result in no more than minimal individual and cumulative adverse environmental effects. Every five years the Corps reevaluates the NWPs during the reissuance process, and may modify an NWP to address concerns for the aquatic environment. Utilizing collected data and institutional knowledge concerning activities authorized by the Corps regulatory program, the Corps reevaluates the potential impacts of activities authorized by NWPs. The Corps also uses substantive public comments on proposed NWPs to assess the expected impacts. This NWP was developed to authorize aquatic habitat restoration, establishment, and enhancement activities that have no more than minimal individual and cumulative adverse environmental effects. The Corps has considered suggested changes to the terms and conditions of this NWP, as well as modifying or adding NWP general conditions, as discussed in the preamble of the Federal Register notice announcing the reissuance of this NWP.

In the June 1, 2016, Federal Register notice, the Corps requested comments on the proposed reissuance of this NWP. The Corps did not propose any changes to this NWP.

## ***2.3 Regional Modification Alternatives***

An important aspect for the NWPs is the emphasis on regional conditions to address differences in aquatic resource functions, services, and values across the nation. All Corps divisions and districts are expected to add regional conditions to the NWPs to enhance protection of the aquatic environment and address local concerns. Division engineers can also revoke an NWP if the use of that NWP results in more than minimal individual and cumulative adverse environmental effects, especially in high value or rare wetlands and other waters. When an NWP is issued or reissued by the Corps, division engineers issue supplemental decision documents that evaluate potential impacts of the NWP at a regional level, and include regional cumulative effects assessments.

Corps divisions and districts also monitor and analyze the cumulative adverse effects of the NWPs, and if warranted, further restrict or prohibit the use of the NWPs to ensure that the NWPs do not authorize activities that result in more than minimal individual and cumulative adverse environmental effects. To the extent practicable, division and district engineers will use regulatory automated information systems and institutional knowledge about the typical adverse effects of activities authorized by NWPs, as well as substantive public comments, to assess the individual and cumulative adverse effects on the aquatic environment resulting from regulated activities.

## ***2.4 Case-specific On-site Alternatives***

Although the terms and conditions for this NWP have been established at the national level to authorize most activities that have no more than minimal individual and cumulative

adverse environmental effects, division and district engineers have the authority to impose case-specific special conditions on NWP authorizations to ensure that the authorized activities will result in no more than minimal individual and cumulative adverse environmental effects.

General condition 23 requires the permittee to minimize and avoid impacts to waters of the United States to the maximum extent practicable at the project site. Off-site alternatives cannot be considered for activities authorized by NWPs. During the evaluation of a pre-construction notification, the district engineer may determine that additional avoidance and minimization is practicable. As another example, the NWP authorization can be conditioned to prohibit the permittee from conducting the activity during specific times of the year to protect spawning fish and shellfish. If the proposed activity will result in more than minimal adverse environmental effects, then the district engineer will exercise discretionary authority and require an individual permit. Discretionary authority can be asserted where there are concerns for the aquatic environment, including high value aquatic habitats. The individual permit review process requires a project-specific alternatives analysis, including the consideration of off-site alternatives, and a public interest review.

### **3.0 Affected Environment**

This environmental assessment is national in scope because the NWP may be used across the country, unless the NWP is revoked or suspended by a division or district engineer under the procedures in 33 CFR 330.5(c) and (d), respectively. The affected environment consists of terrestrial and aquatic ecosystems in the United States, as they have been directly and indirectly affected by past and present federal, non-federal, and private activities. The past and present activities include activities authorized by the various NWPs issued from 1977 to 2012, activities authorized by other types of Department of the Army (DA) permits, as well as other federal, tribal, state, and private activities that are not regulated by the Corps. Aquatic ecosystems are also influenced by past and present activities in uplands, because those land use/land cover changes in uplands and other activities in uplands have indirect effects on aquatic ecosystems (e.g., MEA 2005b, Reid 1993). Due to the large geographic scale of the affected environment (i.e., the entire United States), as well as the many past and present human activities that have shaped the affected environment, it is only practical to describe the affected environment in general terms. In addition, it is not possible to describe the environmental conditions for specific sites where the NWPs may be used to authorize eligible activities.

The total land area in the United States is approximately 2,264,000,000 acres, and the total land area in the contiguous United States is approximately 1,894,000,000 acres (Nickerson et al. 2011). Land uses in 48 states of the contiguous United States as of 2007 is provided in Table 3.1 (Nickerson et al. 2011). Of the land area in the entire United States, approximately 60 percent (1,350,000,000 acres) is privately owned (Nickerson et al. 2011). In the contiguous United States, approximately 67 percent of the land is privately owned, 31 percent is held by the United States government, and two percent is owned by state or local governments (Dale et al. 2000). Developed non-federal lands comprise 4.4 percent of the

total land area of the contiguous United States (Dale et al. 2000).

**Table 3.1. Major land uses in the United States (Nickerson et al. 2011).**

Land Use	Acres	Percent of Total
Agriculture	1,161,000,000	51.3
Forest land	544,000,000	24.0
Transportation use	27,000,000	1.2
Recreation and wildlife areas	252,000,000	11.1
National defense areas	23,000,000	1.0
Urban land	61,000,000	2.7
Miscellaneous use	197,000,000	8.7
<b>Total land area</b>	<b>2,264,000,000</b>	<b>100.0</b>

### *3.1 Quantity of Aquatic Ecosystems in the United States*

There are approximately 283.1 million acres of wetlands in the United States; 107.7 million acres are in the conterminous United States and the remaining 175.4 million acres are in Alaska (Mitsch and Hernandez 2013). Wetlands occupy less than 9 percent of the global land area (Zedler and Kercher 2005). According to Dahl (2011), wetlands and deepwater habitats cover approximately 8 percent of the land area in the conterminous United States. Rivers and streams comprise approximately 0.52 percent of the total land area of the continental United States (Butman and Raymond 2011). Therefore, the wetlands, streams, rivers, and other aquatic habitats that are potentially waters of the United States and subject to regulation by the Corps under Section 404 of the Clean Water Act and Section 10 of the Rivers and Harbors Act of 1899 comprise a minor proportion of the land area of the United States. The remaining land area of the United States (more than 92 percent, depending on the proportion of wetlands, streams, rivers, and other aquatic habitats that are subject to regulation under those two statutes) is outside the Corps regulatory authority.

Dahl (1990) estimated that approximately 53 percent of the wetlands in the conterminous United States were lost in the 200-year period from the 1780s to 1980s, while Alaska lost less than one percent of its wetlands and Hawaii lost approximately 12 percent of its original wetland acreage. In the 1780s, there were approximately 221 million acres of wetlands in the conterminous United States (Dahl 1990). California lost the largest percentage of its wetlands (91 percent), whereas Florida lost the largest acreage (9.3 million acres) (Dahl 1990). During that 200-year period, 22 states lost more than 50 percent of their wetland acreage, and 10 states have lost more than 70 percent of their original wetland acreage (Dahl 1990).

Frayner et al. (1983) evaluated wetland status and trends in the United States during the period of the mid-1950s to the mid-1970s. During that 20-year period, approximately 7.9 million acres of wetlands (4.2 percent) were lost in the conterminous United States. Much of the loss of estuarine emergent wetlands was due to changes to estuarine subtidal deepwater



habitat, and some loss of estuarine emergent wetlands was due to urban development. For palustrine vegetated wetlands, nearly all of the losses of those wetlands were due to agricultural activities (e.g., conversion to agricultural production).

The U.S. Fish and Wildlife Service also examined the status and trends of wetlands in the United States during the period of the mid-1970s to the 1980s, and found that there was a net loss of more than 2.6 million acres of wetlands (2.5 percent) during that time period (Dahl and Johnson 1991). Freshwater wetlands comprised 98 percent of those wetland losses (Dahl and Johnson 1991). During that time period, losses of estuarine wetlands were estimated to be 71,000 acres, with most of that loss due to changes of emergent estuarine wetlands to open waters caused by shifting sediments (Dahl and Johnson 1991). Conversions of wetlands to agricultural use were responsible for 54 percent of the wetland losses, and conversion to other land uses resulted in the loss of 41 percent of wetlands (Dahl and Johnson 1991). Urban development was responsible for five percent of the wetland loss (Dahl and Johnson 1991). The annual rate of wetland loss has decreased substantially since the 1970s (Dahl 2011), when wetland regulation became more prevalent (Brinson and Malvárez 2002).

Between 2004 and 2009, there was no statistically significant difference in wetland acreage in the conterminous United States (Dahl 2011). According to the 2011 wetland status and trends report, during the period of 2004 to 2009 urban development accounted for 11 percent of wetland losses (61,630 acres), rural development resulted in 12 percent of wetland losses (66,940 acres), silviculture accounted for 56 percent of wetland losses (307,340 acres), and wetland conversion to deepwater habitats caused 21 percent of the loss in wetland area (115,960 acres) (Dahl 2011). Some of the losses occurred to wetlands that are not subject to Clean Water Act jurisdiction and some losses are due to activities not regulated under Section 404 of the Clean Water Act, such as unregulated drainage activities, exempt forestry activities, or water withdrawals. From 2004 to 2009, approximately 100,020 acres of wetlands were gained as a result of wetland restoration and conservation programs on agricultural land (Dahl 2011). Another source of wetland gain is conversion of other uplands to wetlands, resulting in a gain of 389,600 acres during the period of 2004 to 2009 (Dahl 2011). Inventories of wetlands, streams, and other aquatic resources are incomplete because the techniques used for those studies cannot identify some of those resources (e.g., Dahl (2011) for wetlands; Meyer and Wallace (2001) for streams).

Losses of vegetated estuarine wetlands due to the direct effects of human activities have decreased significantly due to the requirements of Section 404 of the Clean Water Act and other laws and regulations (Dahl 2011). During the period of 2004 to 2009, less than one percent of estuarine emergent wetlands were lost as a direct result of human activities, while other factors such as sea level rise, land subsidence, storm events, erosion, and other ocean processes caused substantial losses of estuarine wetlands (Dahl 2011). The indirect effects of other human activities, such as oil and gas development, water extraction, development of the upper portions of watersheds, and levees, have also resulted in coastal wetland losses (Dahl 2011). Eutrophication of coastal waters can also cause losses of emergent estuarine wetlands, through changes in growth patterns of marsh plants and decreases in the stability of the wetland substrate, which changes those marshes to mud flats (Deegan et al. 2012).

The Emergency Wetlands Resources Act of 1986 (Public Law 99-645) requires the USFWS to submit wetland status and trends reports to Congress (Dahl 2011). The latest status and trends report, which covers the period of 2004 to 2009, is summarized in Table 3.2. The USFWS status and trends report only provides information on acreage of the various aquatic habitat categories and does not assess the quality or condition of those aquatic habitats (Dahl 2011).

**Table 3.2. Estimated aquatic resource acreages in the conterminous United States in 2009 (Dahl 2011).**

<b>Aquatic Habitat Category</b>	<b>Estimated Area in 2009 (acres)</b>
Marine intertidal	227,800
Estuarine intertidal non-vegetated	1,017,700
Estuarine intertidal vegetated	4,539,700
<b>All intertidal waters and wetlands</b>	<b>5,785,200</b>
Freshwater ponds	6,709,300
Freshwater vegetated	97,565,300
• Freshwater emergent wetlands	27,430,500
• Freshwater shrub wetlands	18,511,500
• Freshwater forested wetlands	51,623,300
<b>All freshwater wetlands</b>	<b>104,274,600</b>
Lacustrine deepwater habitats	16,859,600
Riverine deepwater habitats	7,510,500
Estuarine subtidal habitats	18,776,500
<b>All wetlands and deepwater habitats</b>	<b>153,206,400</b>

The acreage of lacustrine deepwater habitats does not include the open waters of Great Lakes (Dahl 2011).

The Federal Geographic Data Committee has established the Cowardin system developed by the U.S. Fish and Wildlife Service (USFWS) (Cowardin et al. 1979) as the national standard for wetland mapping, monitoring, and data reporting (Dahl 2011) (see Federal Geographic Data Committee (2013)). The Cowardin system is a hierarchical system which describes various wetland and deepwater habitats, using structural characteristics such as vegetation, substrate, and water regime as defining characteristics. Wetlands are defined by plant communities, soils, or inundation or flooding frequency. Deepwater habitats are permanently flooded areas located below the wetland boundary. In rivers and lakes, deepwater habitats are usually more than two meters deep. The Cowardin et al. (1979) definition of “wetland” differs from the definition used by the Corps and U.S. EPA for the purposes of implementing Section 404 of the Clean Water Act. The Corps-U.S. EPA regulations defines wetlands as “those areas that are inundated or saturated by surface or

ground water at a frequency and duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions. Wetlands generally include swamps, marshes, bogs, and similar areas.” [33 CFR 328.3(c)(4); 40 CFR 230.3(o)(3)(iv)] The Cowardin et al. (1979) requires only one factor (i.e., wetland vegetation, soils, hydrology) to be present for an area to be a wetland, while the Corps-U.S. EPA wetland definition requires all three factors to be present under normal circumstances (Tiner 1997b, Mitsch and Gosselink 2015). The NWI produced by applying the Cowardin et al. (1979) definition is the only national scale wetland inventory available. There is no national inventory of wetland acreage based on the Corps-U.S. EPA wetland definition at 33 CFR 328.3(c)(4).

There are five major systems in the Cowardin classification scheme: marine, estuarine, riverine, lacustrine, and palustrine (Cowardin et al. 1979). The marine system consists of open ocean on the continental shelf and its high energy coastlines. The estuarine system consists of tidal deepwater habitats and adjacent tidal wetlands that are usually partially enclosed by land, but may have open connections to open ocean waters. The riverine system generally consists of all wetland and deepwater habitats located within a river channel. The lacustrine system generally consists of wetland and deepwater habitats located within a topographic depression or dammed river channel, with a total area greater than 20 acres. The palustrine system generally includes all non-tidal wetlands and wetlands located in tidal areas with salinities less than 0.5 parts per thousand; it also includes ponds less than 20 acres in size. Approximately 95 percent of wetlands in the conterminous United States are freshwater wetlands, and the remaining 5 percent are estuarine or marine wetlands (Dahl 2011).

According to Hall et al. (1994), there are more than 204 million acres of wetlands and deepwater habitats in the State of Alaska, including approximately 174.7 million acres of wetlands. Wetlands and deepwater habitats comprise approximately 50.7 percent of the surface area in Alaska (Hall et al. 1994).

The National Resources Inventory (NRI) is a statistical survey conducted by the Natural Resources Conservation Service (NRCS) (USDA 2015) of natural resources on non-federal land in the United States. The NRCS defines non-federal land as privately owned lands, tribal and trust lands, and lands under the control of local and state governments. Acreages of palustrine and estuarine wetlands and the land uses those wetlands are subjected to are summarized in Table 3.3. The 2012 NRI estimates that there are 111,220,800 acres of palustrine and estuarine wetlands on non-Federal land and water areas in the United States (USDA 2015). The 2012 NRI estimates that there are 49,518,700 acres of open waters on non-Federal land in the United States, including lacustrine, riverine, and marine habitats, as well as estuarine deepwater habitats.

**Table 3.3. The 2012 National Resources Inventory acreages for palustrine and estuarine wetlands on non-federal land, by land cover/use category (USDA 2015).**

National Resources Inventory Land Cover/Use Category	Area of Palustrine and Estuarine Wetlands (acres)
cropland, pastureland, and Conservation Reserve Program land	17,800,000
forest land	65,800,000
rangeland	8,000,000
other rural land	14,700,000
developed land	1,400,000
water area	3,600,000
<b>Total</b>	<b>111,300,000</b>

The land cover/use categories used by the 2012 NRI are defined below (USDA 2015). Croplands are areas used to produce crops grown for harvest. Pastureland is land managed for livestock grazing, through the production of introduced forage plants. Conservation Reserve Program land is under a Conservation Reserve Program contract. Forest land is comprised of at least 10 percent single stem woody plant species that will be at least 13 feet tall at maturity. Rangeland is land on which plant cover consists mostly of native grasses, herbaceous plants, or shrubs suitable for grazing or browsing, and introduced forage plant species. Other rural land consists of farmsteads and other farm structures, field windbreaks, marshland, and barren land. Developed land is comprised of large urban and built-up areas (i.e., urban and built-up areas 10 acres or more in size), small built-up areas (i.e., developed lands 0.25 to 10 acres in size), and rural transportation land (e.g., roads, railroads, and associated rights-of-way outside urban and built-up areas). Water areas are comprised of waterbodies and streams that are permanent open waters.

The wetlands data from the Fish and Wildlife Service’s Status and Trends study and the Natural Resources Conservation Service’s National Resources Inventory should not be compared, because they use different methods and analyses to produce their results (Dahl 2011).

Leopold, Wolman, and Miller (1964) estimated that there are approximately 3,250,000 miles of river and stream channels in the United States. This estimate is based on an analysis of 1:24,000 scale topographic maps. Their estimate does not include many small streams. Many small streams, especially headwater streams, are not mapped on 1:24,000 scale U.S. Geological Survey (USGS) topographic maps (Leopold 1994) or included in other inventories (Meyer and Wallace 2001), including the National Hydrography Dataset (Elmore et al. 2013). Many small streams and rivers are not identified through maps produced by aerial photography or satellite imagery because of inadequate image resolution or trees or other vegetation obscuring the visibility of those streams from above (Benstead

and Leigh 2012). In a study of stream mapping in the southeastern United States, only 20 percent of the stream network was mapped on 1:24,000 scale topographic maps, and nearly none of the observed intermittent or ephemeral streams were indicated on those maps (Hansen 2001). Another study in Massachusetts showed that those types of topographic maps exclude over 27 percent of stream miles in a watershed (Brooks and Colburn 2011). For a 1:24,000 scale topographic map, the smallest tributary found by using 10-foot contour interval has a drainage area of 0.7 square mile and length of 1,500 feet, and smaller stream channels are common throughout the United States (Leopold 1994). Benstead and Leigh (2012) found that the density of stream channels (length of stream channels per unit area) identified by digital elevation models was three times greater than the drainage density calculated by using USGS maps. Elmore et al. (2013) made similar findings in watersheds in the mid-Atlantic, where they determined that the stream density was 2.5 times greater than the stream density calculated with the National Hydrography Dataset. Due to the difficulty in mapping small streams, there are no accurate estimates of the total number of river or stream miles in the conterminous United States that might be considered as “waters of the United States.”

The quantity of the Nation’s aquatic resources presented by studies that estimate the length or number of stream channels (see above) or the acreage of wetlands (USFWS status and trends studies, National Wetland Inventory (NWI), and Natural Resources Inventory (NRI) are underestimates, because those inventories do not include many small wetlands and streams. The USFWS status and trends study does not include Alaska, Hawaii, or the territories. The underestimate of national wetland acreage by the USFWS status and trends study and the NWI is primarily the result of the minimum size of wetlands detected through remote sensing techniques and the difficulty of identifying certain wetland types through those remote sensing techniques. The remote sensing approaches used by the USFWS for its NWI maps and its status and trends reports result in errors of omission that exclude wetlands that are difficult to identify through photointerpretation (Tiner 1997a). These errors of omission are due to wetland type and the size of target mapping units (Tiner 1997a). Therefore, it is important to understand the limitations of the source data when describing the environmental baseline for wetlands using maps and studies produced by remote sensing, especially in terms of wetland quantity.

Factors affecting the accuracy of wetland maps made by remote sensing include: the degree of difficulty in identifying a wetland, map scale, the quality and scale of the source information (e.g., aerial or satellite photos), the environmental conditions when the source information was obtained, the time of year source information was obtained, the mapping equipment, and the skills of the people producing the maps (Tiner 1999). The map scale usually affects the target mapping unit, which is the minimum wetland size that can be consistently mapped (Tiner 1997b). In general, wetland types that are difficult to identify through field investigations are likely to be underrepresented in maps made by remote sensing (Tiner 1999). Wetlands difficult to identify through remote sensing include forested wetlands, small wetlands, narrow wetlands, mowed wetlands, farmed wetlands, wetlands with hydrology at the drier end of the wetland hydrology continuum, and significantly drained wetlands (Tiner 1999). In the most recent wetland status and trends report published by the U.S. Fish and Wildlife Service, the target minimum wetland mapping unit was 1 acre,

although some easily identified wetlands as small as 0.1 acre were identified in that effort (Dahl 2011). The National Wetland Inventory identifies wetlands regardless of their jurisdictional status under the Clean Water Act (Tiner 1997b).

Activities authorized by NWPs will adversely affect a smaller proportion of the Nation's wetland base than indicated by the wetlands acreage estimates provided in the most recent status and trends report, or the NWI maps for a particular region.

Not all wetlands, streams, and other types of aquatic resources are subject to federal jurisdiction under the Clean Water Act (Mitsch and Gosselink 2015). Two U.S. Supreme Court decisions have identified limits to Clean Water Act jurisdiction. In 2001, in *Solid Waste Agency of Northern Cook County v. Army Corps of Engineers* (531 U.S. 159) the U.S. Supreme Court held that the use of isolated, non-navigable, intrastate waters by migratory birds is not, by itself a sufficient basis for exercising federal regulatory authority under the Clean Water Act (see 80 FR 37056). In the Supreme Court's 2006 decision in *Rapanos v. United States*, (547 U.S. 715), one justice stated that waters and wetlands regulated under the Clean Water Act must have a "significant nexus" to downstream traditional navigable waters. Four justices (the plurality) concluded that Clean Water Act jurisdiction applies only to relatively permanent waters connected to traditional navigable waters and to wetlands that have a continuous surface connection to those relatively permanent waters. The remaining justices in *Rapanos* stated that Clean Water Act jurisdiction applies to waters and wetlands that meet either the significant nexus test or the Plurality's test.

There are 94,133 miles of shoreline in the United States (NOAA 1975). Of that shoreline, 88,633 miles are tidal shoreline and 5,500 miles are shoreline along the Great Lakes and rivers that connect those lakes to the Atlantic Ocean. More recently, Gittman et al. (2015) estimated that there are 99,524 miles of tidal shoreline in the conterminous United States.

### ***3.2 Quality of Aquatic Ecosystems in the United States***

The USFWS status and trends study does not assess the condition or quality of wetlands and deepwater habitats (Dahl 2011). Information on water quality in waters and wetlands, as well as the causes of water quality impairment, is collected by the U.S. EPA under Sections 305(b) and 303(d) of the Clean Water Act. Table 3.4 provides U.S. EPA's most recent national summary of water quality in the Nation's waters and wetlands.

**Table 3.4. National summary of water quality data (U.S. EPA 2015).**

Category of water	Total waters	Total waters assessed	Percent of waters assessed	Good waters	Threatened waters	Impaired waters
Rivers and streams	3,533,205 miles	1,046,621 miles	29.6	476,765 miles	7,657 miles	562,198 miles
Lakes, reservoirs and ponds	41,666,049 acres	17,904,395 acres	43.0	5,658,789 acres	145,572 acres	12,100,034 acres
Bays and estuaries	87,791 square miles	33,402 square miles	38.0	7,291 square miles	0 square miles	26,111 square miles
Coastal shoreline	58,618 miles	8,162 miles	13.9	900 miles	0 miles	7,262 miles
Ocean and near coastal waters	54,120 square miles	1,674 square miles	3.1	616 square miles	0 square miles	1,058 square miles
Wetlands	107,700,000 acres	1,112,438 acres	1.0	573,947 acres	0 acres	538,492 acres
Great Lakes shoreline	5,202 miles	4,431 miles	85.2	78 miles	0 miles	4,353 miles
Great Lakes open waters	60,546 square miles	53,332 square miles	88.1	62 square miles	0 square miles	53,270 square miles

Waters and wetlands classified by states as “good” meets all their designated uses. Waters classified as “threatened” currently support all of their designated uses, but if pollution control measures are not taken one or more of those uses may become impaired in the future. A water or wetland is classified by the state as “impaired” if any one of its designated uses is not met. The definitions of good, threatened, and impaired are applied by states to describe the quality of their waters (the above definitions were found in the metadata in U.S. EPA (2015)). Designated uses include the “protection and propagation of fish, shellfish and wildlife,” “recreation in and on the water,” the use of waters for “public water supplies, propagation of fish, shellfish, wildlife, recreation in and on the water,” and “agricultural, industrial and other purposes including navigation.” (40 CFR 130.3). These designated uses are assessed by states in a variety of ways, by examining various physical, chemical and biological characteristics, so it is not possible to use the categories of “good,” “threatened,” and “impaired” to infer the level of ecological functions and services these waters perform.

According to the latest U.S. EPA national summary (U.S. EPA 2015), 54 percent of assessed rivers and streams, 68 percent of assessed lakes, reservoirs, and ponds, 78 percent of assessed bays and estuaries, 89 percent of assessed coastal shoreline, 63 percent of assessed ocean and near coastal waters, and 48 percent of assessed wetlands are impaired.

For rivers and streams, 34 causes of impairment were identified, and the top 10 causes were pathogens, sediment, nutrients, mercury, organic enrichment/oxygen depletion, polychlorinated biphenyls, metals (other than mercury), temperature, habitat alterations, and

flow alteration(s). The primary sources of impairment for the assessed rivers and streams were agriculture, unknown sources, atmospheric deposition, urban-related runoff/stormwater, hydromodification, municipal discharges/sewage, natural/wildlife, unspecified point source, habitat alterations not directly related to hydromodification, and resource extraction.

Thirty-one causes of impairment were identified for bays and estuaries. The top 10 causes of impairment for these waters is: mercury, polychlorinated biphenyls, pathogens, organic enrichment/oxygen depletion, dioxins, other causes, fish consumption advisories, metals (other than mercury), noxious aquatic plants, and pesticides. For bays and estuaries, the top 10 sources of impairment were atmospheric deposition, unknown sources, municipal discharges/sewage, other sources, industrial, natural/wildlife, urban-related runoff/stormwater, spills/dumping, unspecified non-point sources, and agriculture.

Coastal shorelines were impaired by 15 identified causes, the top 10 of which were: mercury, pathogens, organic enrichment/oxygen depletion, turbidity, pH/acidity/caustic conditions, nutrients, temperature, oil and grease, algal growth, and causes unknown/impaired biota. The top 10 sources of impairment of coastal shorelines are “unknown,” atmospheric deposition, municipal discharges/sewage, urban-related runoff/stormwater, hydromodification, unspecified non-point sources, agriculture, recreational boating and marinas, industrial, and spills/dumping.

For wetlands, 26 causes of impairment were identified, and the top 10 causes were organic enrichment/oxygen depletion, mercury, pathogens, metals (excluding mercury), toxic inorganics, temperature, sediment, algal growth, flow alterations, and turbidity. The primary sources for wetland impairment were “unknown,” agriculture, atmospheric deposition, industrial, municipal discharges/sewage, recreational boating and marinas, resource extraction, natural/wildlife, hydromodification, and unspecified point sources.

Water quality standards are established by states, with review and approval by the U.S. EPA (see Section 303(c) of the Clean Water Act and the implementing regulations at 40 CFR part 131). Under Section 401 of the Clean Water Act States review proposed discharges to determine compliance with applicable water quality standards.

Most causes and sources of impairment are not due to activities regulated under Section 404 of the Clean Water Act or Section 10 of the Rivers and Harbors Act of 1899. Inputs of sediments into aquatic ecosystems can result from erosion occurring within a watershed (Beechie et al. 2013, Gosselink and Lee 1989). As water moves through a watershed it carries sediments and pollutants to streams (e.g., Allan 2004, Dudgeon et al. 2005, Paul and Meyer 2001) and wetlands (e.g., Zedler and Kercher 2005, Wright et al. 2006). Non-point sources of pollution (i.e., pollutants carried in runoff from farms, roads, and urban areas) are largely uncontrolled (Brown and Froemke 2012) because the Clean Water Act only requires permits for point sources discharges of pollutants (i.e., discharges of dredged or fill material regulated under section 404 and point source discharges of other pollutants regulated under section 402).



The indirect effects of changes in upland land use (which are highly likely not to be subject to federal control and responsibility, at least in terms of the Corps Regulatory Program), including the construction and expansion of upland developments, have substantial adverse effects on the quality (i.e. the ability to perform hydrologic, biogeochemical, and habitat functions) of jurisdictional waters and wetlands because those upland activities alter watershed-scale processes. Those watershed-scale processes include water movement and storage, erosion and sediment transport, and the transport of nutrients and other pollutants.

Habitat alterations as a cause or source of impairment may be the result of activities regulated under section 404 and section 10 because they involve discharges of dredged or fill material into jurisdictional waters or structures or work in navigable waters, but habitat alterations may also occur as a result of activities not regulated under those two statutes, such as the removal of vegetation from upland riparian areas. Hydrologic modifications may or may not be regulated under section 404 or section 10, depending on whether those hydrologic modifications are the result of discharges of dredged or fill material into waters of the United States regulated under Section 404 of the Clean Water Act or structures or work in navigable waters of the United States regulated under Section 10 of the Rivers and Harbors Act of 1899. When states, tribes, or the U.S. EPA establish total daily maximum loads (TMDLs) for pollutants and other impairments for specific waters, there may be variations in how these TMDLs are defined (see 40 CFR part 130).

As discussed below, many anthropogenic activities and natural processes affect the ability of jurisdictional waters and wetlands to perform ecological functions. Stream and river functions are affected by activities occurring in their watersheds, including the indirect effects of land uses changes (Beechie et al. 2013, Allan 2004, Paul and Meyer 2001). Booth et al. (2004) found riparian land use in residential areas also strongly affects stream condition because many landowners clear vegetation up to the edge of the stream bank. The removal of vegetation from upland riparian areas and other activities in those non-jurisdictional areas do not require DA authorization. Wetland functions are also affected by indirect effects of land use activities in the land area that drains to the wetland (Zedler and Kercher 2005, Wright et al. 2006). Human activities within a watershed or catchment that have direct or indirect adverse effects on rivers, streams, wetlands, and other aquatic ecosystems are not limited to discharges of dredged or fill material into waters of the United States or structures or work in a navigable waters. Human activities in uplands have substantial indirect effects on the structure and function of aquatic ecosystems, including streams and wetlands, and their ability to sustain populations of listed species. It is extremely difficult to distinguish between degradation of water quality caused by upland activities and degradation of water quality caused by the filling or alteration of wetlands (Gosselink and Lee 1989).

Most causes and sources of impairment are not due to activities regulated under Section 404 of the Clean Water Act or Section 10 of the Rivers and Harbors Act of 1899. Habitat alterations as a cause or source of impairment may be the result of activities regulated under section 404 and section 10 because they involve discharges of dredged or fill material or structures or work in navigable waters, but habitat alterations may also occur as a result of activities not regulated under those two statutes, such as the removal of vegetation from

upland riparian areas. Hydrologic modifications may or may not be regulated under section 404 or section 10.

The U.S. Environmental Protection Agency (U.S. EPA) has undertaken the National Wetland Condition Assessment (NWCA), which is a statistical survey of wetland condition in the United States (U.S. EPA 2016). The NWCA assesses the ambient conditions of wetlands at the national and regional scales. The national scale encompasses the conterminous United States. The regional scale consists of four aggregated ecoregions: Coastal Plains, Eastern Mountains and Upper Midwest, Interior Plains, and West. In May 2016, U.S. EPA issued a final report on the results of its 2011 NWCA (U.S. EPA 2016).

The 2011 NWCA determined that, across the conterminous United States, 48 percent of wetland area (39.8 million acres) is in good condition, 20 percent of the wetland area (12.4 million acres) is in fair condition, and 32 percent (19.9 million acres) is in poor condition (U.S. EPA 2016). The 2011 NWCA also examined indicators of stress for the wetlands that were evaluated. The most prevalent physical stressors were vegetation removal, surface hardening via conversion to pavement or soil compaction, and ditching (U.S. EPA 2016). In terms of chemical stressors, most wetlands were subject to low exposure to heavy metals and soil phosphorous, but substantial percentages of wetland area in the West and Eastern Mountains and Upper Midwest ecoregions were found to have moderate stressor levels for heavy metals (U.S. EPA 2016). For soil phosphorous concentrations, stressor levels were high for 13 percent of the wetland area in the Eastern Mountains and Upper Midwest ecoregion (U.S. EPA 2016). Across the conterminous United States, for biological stressors indicated by non-native plants, 61 percent of the wetland area exhibited low stressor levels (U.S. EPA 2016). When examined on an ecoregion basis, the Eastern Mountains and Upper Midwest and Coastal Plains ecoregions had high percentages of wetland area with low non-native plant stressor levels, but the West and Interior Plains ecoregions had small percentages of areas with low non-native plant stressor levels (U.S. EPA 2016).

### ***3.3 Aquatic resource functions and services***

Functions are the physical, chemical, and biological processes that occur in ecosystems (33 CFR 332.2). Wetland functions occur through interactions of their physical, chemical, and biological features (Smith et al. 1995). Wetland functions depend on a number of factors, such as the movement of water through the wetland, landscape position, surrounding land uses, vegetation density within the wetland, geology, soils, water source, and wetland size (NRC 1995). In its evaluation of wetland compensatory mitigation in the Clean Water Act Section 404 permit program, the National Research Council (2001) recognized five general categories of wetland functions:

- Hydrologic functions
- Water quality improvement
- Vegetation support
- Habitat support for animals
- Soil functions

Hydrologic functions include short- and long-term water storage and the maintenance of wetland hydrology (NRC 1995). Water quality improvement functions encompass the transformation or cycling of nutrients, the retention, transformation, or removal of pollutants, and the retention of sediments (NRC 1995). Vegetation support functions include the maintenance of plant communities, which support various species of animals as well as economically important plants. Wetland soils support diverse communities of bacteria and fungi which are critical for biogeochemical processes, including nutrient cycling and pollutant removal and transformation (NRC 2001). Wetland soils also provide rooting media for plants, as well as nutrients and water for those plants. These various functions generally interact with each other, to influence overall wetland functioning, or ecological integrity (Smith et al. 1995; Fennessy et al. 2007). As discussed earlier in this report, the Corps regulations at 33 CFR 320.4(b) list wetland functions that are important for the public interest review during evaluations of applications for DA permits, and for the issuance of general permits.

Not all wetlands perform the same functions, nor do they provide functions to the same degree (Smith et al. 1995). Therefore, it is necessary to account for individual and regional variation when evaluating wetlands and the functions and services they provide. The types and levels of functions performed by a wetland are dependent on its hydrologic regime, the plant species inhabiting the wetland, soil type, and the surrounding landscape, including the degree of human disturbance of the landscape (Smith et al. 1995).

Streams also provide a variety of functions, which differ from wetland functions. Streams also provide hydrologic functions, nutrient cycling functions, food web support, and corridors for movement of aquatic organisms (Allan and Castillo 2007). When considering stream functions, the stream channel should not be examined in isolation. The riparian corridor next to the stream channel is an integral part of the stream ecosystem and has critical roles in stream functions (NRC 2002). Riparian areas provide many of the same general functions as wetlands (NRC 1995, 2002). Fischenich (2006) conducted a review of stream and riparian corridor functions, and through a committee, identified five broad categories of stream functions:

- Stream system dynamics
- Hydrologic balance
- Sediment processes and character
- Biological support
- Chemical processes and landscape pathways

Stream system dynamics refers to the processes that affect the development and maintenance of the stream channel and riparian area over time, as well as energy management by the stream and riparian area. Hydrologic balance includes surface water storage processes, the exchange of surface and subsurface water, and the movement of water through the stream corridor. Sediment processes and character functions relate to processes for establishing and maintaining stream substrate and structure. Biological support functions include the biological communities inhabiting streams and their riparian areas. Chemical processes and pathway functions influence water and soil quality, as well as the chemical processes and nutrient cycles that occur in streams and their riparian areas. Rivers

and streams function perform functions to different degrees, depending on watershed condition, the severity of direct and indirect impacts to streams caused by human activities, and their interactions with other environmental components, such as their riparian areas (Allan 2004, Gergel et al. 2002).

Ecosystem services are the benefits that humans derive from ecosystem functions (33 CFR 332.2). The Millennium Ecosystem Assessment (2005b) describes four categories of ecosystem services: provisioning services, regulating services, cultural services, and supporting services. For wetlands and open waters, provisioning services include the production of food (e.g., fish, fruits, game), fresh water storage, food and fiber production, production of chemicals that can be used for medicine and other purposes, and supporting genetic diversity for resistance to disease. Regulating services relating to open waters and wetlands consist of climate regulation, control of hydrologic flows, water quality through the removal, retention, and recovery of nutrients and pollutants, erosion control, mitigating natural hazards such as floods, and providing habitat for pollinators. Cultural services that come from wetlands and open waters include spiritual and religious values, recreational opportunities, aesthetics, and education. Wetlands and open waters contribute supporting services such as soil formation, sediment retention, and nutrient cycling.

Examples of services provided by wetland functions include flood damage reduction, maintenance of populations of economically important fish and wildlife species, maintenance of water quality (NRC 1995, MEA 2005b) and the production of populations of wetland plant species that are economically important commodities, such as timber, fiber, and fuel (MEA 2005b). Wetlands can also provide important climate regulation and storm protection services (MEA 2005b).

Stream functions also result in ecosystem services that benefit society. Streams and their riparian areas store water, which can reduce downstream flooding and subsequent flood damage (NRC 2002, MEA 2005b). These ecosystems also maintain populations of economically important fish, wildlife, and plant species, including valuable fisheries (MEA 2005b, NRC 2002). The nutrient cycling and pollutant removal functions help maintain or improve water quality for surface waters (NRC 2002, MEA 2005b). Streams and riparian areas also provide important recreational opportunities. Rivers and streams also provide water for agricultural, industrial, and residential use (MEA 2005b).

Freshwater ecosystems provide services such as water for drinking, household uses, manufacturing, thermoelectric power generation, irrigation, and aquaculture; production of finfish, waterfowl, and shellfish; and non-extractive services, such as flood control, transportation, recreation (e.g., swimming and boating), pollution dilution, hydroelectric generation, wildlife habitat, soil fertilization, and enhancement of property values (Postel and Carpenter 1997).

Marine ecosystems provide a number of ecosystem services, including fish production; materials cycling (e.g., nitrogen, carbon, oxygen, phosphorous, and sulfur); transformation, detoxification, and sequestration of pollutants and wastes produced by humans; support of ocean-based recreation, tourism, and retirement industries; and coastal land development

and valuation, including aesthetics related to living near the ocean (Peterson and Lubchenco 1997).

This NWP authorizes activities in all waters of the United States. These waters are included in the marine, estuarine, palustrine, lacustrine, and riverine systems of the Cowardin classification system.

Activities authorized by this NWP will provide a wide variety of services that are valued by society. Aquatic resource restoration, establishment, and enhancement activities provide ecological functions that provide important services for the health and well-being of human communities. Examples of those services are listed above.

## **4.0 Environmental Consequences**

### ***4.1 General Evaluation Criteria***

This document contains a general assessment of the foreseeable effects of the individual activities authorized by this NWP and the anticipated cumulative effects of those activities. In the assessment of these individual and cumulative effects, the terms and limits of the NWP, pre-construction notification requirements, and the standard NWP general conditions are considered. The supplemental documentation provided by division engineers will address how regional conditions affect the individual and cumulative effects of the NWP.

The following evaluation comprises the NEPA analysis, the public interest review specified in 33 CFR 320.4(a)(1) and (2), and the impact analysis specified in Subparts C through F of the 404(b)(1) Guidelines (40 CFR Part 230).

The issuance of an NWP is based on a general assessment of the effects on public interest and environmental factors that are likely to occur as a result of using this NWP to authorize activities in waters of the United States. As such, this assessment must be speculative or predictive in general terms. Since NWPs authorize activities across the nation, projects eligible for NWP authorization may be constructed in a wide variety of environmental settings. Therefore, it is difficult to predict all of the indirect impacts that may be associated with each activity authorized by an NWP. For example, the NWP that authorizes 25 cubic yard discharges of dredged or fill material into waters of the United States may be used to fulfill a variety of project purposes, and the indirect effects will vary depending on the specific activity and the environmental characteristics of the site in which the activity takes place. Indication that a factor is not relevant to a particular NWP does not necessarily mean that the NWP would never have an effect on that factor, but that it is a factor not readily identified with the authorized activity. Factors may be relevant, but the adverse effects on the aquatic environment are negligible, such as the impacts of a boat ramp on water level fluctuations or flood hazards. Only the reasonably foreseeable direct, indirect, and cumulative effects are included in the environmental assessment for this NWP. Division and district engineers will impose, as necessary, additional conditions on the NWP

authorization or exercise discretionary authority to address locally important factors or to ensure that the authorized activity results in no more than minimal individual and cumulative adverse environmental effects. In any case, adverse effects will be controlled by the terms, conditions, and additional provisions of the NWP. For example, Section 7 Endangered Species Act consultation will be required for all activities that may affect endangered or threatened species or critical habitat (see 33 CFR 330.4(f) and NWP general condition 18).

## ***4.2 Impact Analysis***

This NWP authorizes activities in all waters of the United States for aquatic resource restoration, establishment, and enhancement activities. There is no acreage limit for this NWP, but the terms of the NWP limit the types of authorized activities.

Pre-construction notification is required for all activities authorized by this NWP, except for: (1) activities conducted on non-Federal public lands and private lands, in accordance with the terms and conditions of a binding stream enhancement or restoration agreement or wetland enhancement, restoration, or establishment agreement between the landowner and the U.S. FWS, NRCS, FSA, NMFS, NOS, USFS or their designated state cooperating agencies; (2) voluntary stream or wetland restoration or enhancement action, or wetland establishment action, documented by the NRCS or USDA Technical Service Provider pursuant to NRCS Field Office Technical Guide standards; or (3) the reclamation of surface coal mine lands, in accordance with an SMCRA permit issued by the OSMRE or the applicable state agency. The pre-construction notification requirement allows district engineers to review proposed activities on a case-by-case basis to ensure that the individual and cumulative adverse environmental effects of those activities are no more than minimal. Reporting is required for activities that do not require pre-construction notification. If the district engineer determines that the adverse environmental effects of a particular project are more than minimal after considering mitigation, then discretionary authority will be asserted and the applicant will be notified that another form of DA authorization, such as a regional general permit or individual permit, is required (see 33 CFR 330.4(e) and 330.5).

When making minimal adverse environmental effects determinations the district engineer will consider the direct and indirect effects caused by the NWP activity. The district engineer will also consider site specific factors, such as the environmental setting in the vicinity of the NWP activity, the type(s) of resource(s) that will be affected by the NWP activity, the functions provided by the aquatic resources that will be affected by the NWP activity, the degree or magnitude to which the aquatic resources perform those functions, the extent that aquatic resource functions will be lost as a result of the NWP activity (e.g., partial or complete loss), the duration of the adverse effects (temporary or permanent), the importance of the aquatic resource functions to the region (e.g., watershed or ecoregion), and mitigation required by the district engineer. These criteria are listed in the NWPs in Section D, "District Engineer's Decision." If an appropriate functional or condition assessment method is available and practicable to use, that assessment method may be used by the district engineer to assist in the minimal adverse effects determination. The district

engineer may add case-specific special conditions to the NWP authorization to address site-specific environmental concerns.

Additional conditions can be placed on proposed activities on a regional or case-by-case basis to ensure that the activities have no more than minimal individual and cumulative adverse environmental effects. Regional conditioning of this NWP will be used to account for differences in aquatic resource functions, services, and values across the country, ensure that the NWP authorizes only those activities with no more than minimal individual and cumulative adverse environmental effects, and allow each Corps district to prioritize its workload based on where its efforts will best serve to protect the aquatic environment. Regional conditions can prohibit the use of an NWP in certain waters (e.g., high value waters or specific types of wetlands or waters), lower pre-construction notification thresholds, or require pre-construction notification for some or all NWP activities in certain watersheds or types of waters. Specific NWPs can also be revoked on a geographic or watershed basis where the individual and cumulative adverse environmental effects resulting from the use of those NWPs are more than minimal.

In high value waters, division and district engineers can: 1) prohibit the use of the NWP in those waters and require an individual permit or regional general permit; 2) impose an acreage limit on the NWP; 3) require pre-construction notification for some or all NWP activities in those waters; 4) add regional conditions to the NWP to ensure that the individual and cumulative adverse environmental effects are no more than minimal; or 5) for those NWP activities that require pre-construction notification, add special conditions to NWP authorizations, such as time of year restrictions on conducting the authorized activities, to ensure that the adverse environmental effects are no more than minimal. NWPs can authorize activities in high value waters as long as the individual and cumulative adverse environmental effects are no more than minimal.

The construction and use of fills for temporary access for construction may be authorized by NWP 33 or regional general permits issued by division or district engineers. The related activity must meet the terms and conditions of the specified permit(s). If the discharge is dependent on portions of a larger project that require an individual permit, this NWP will not apply. [See 33 CFR 330.6(c) and (d)]

### ***4.3 Cumulative Effects***

#### **4.3.1 General Analysis**

The Council on Environmental Quality's (CEQ's) NEPA regulations define cumulative effects as: "the impact on the environment which results from the incremental impact of the action when added to other past, present, and reasonably foreseeable future actions regardless of what agency (Federal or non-Federal) or person undertakes such other actions. Cumulative impacts can result from individually minor but collectively significant actions taking place over a period of time." [40 CFR 1508.7.] Therefore, the NEPA cumulative effects analysis for an NWP is not limited to activities authorized by the NWP, other NWPs,

or other DA permits (individual permits and regional general permits). The NEPA cumulative effects analysis must also include other Federal and non-Federal activities that affect the Nation's wetlands, streams, and other aquatic resources, as well as other resources (e.g., terrestrial ecosystems, air) that may be directly or indirectly affected by the proposed action and other actions. According to guidance issued by CEQ (1997), a NEPA cumulative effects analysis should focus on specific categories of resources (i.e., resources of concern) identified during the review process as having significant cumulative effects concerns. These cumulative effects analyses also require identification of the disturbances and stressors that cause degradation of those resources, including those caused by actions unrelated to the proposed action. A NEPA cumulative effects analysis does not need to analyze issues that have little relevance to the proposed action or the decision the agency will have to make (CEQ 1997).

The geographic scope of this cumulative effects analysis is the United States and its territories, where the NWP may be used to authorize specific activities that require DA authorization. The temporal scope of the cumulative effects analysis includes past federal, non-federal, and private actions that continue to affect the Nation's wetlands, streams, and other aquatic resources (including activities authorized by previously issued NWPs, regional general permits, and DA individual permits) as well as present and reasonably foreseeable future federal, non-federal, and private actions that are affecting, or will affect, wetlands, streams, and other aquatic resources. The present effects of past federal, non-federal, and private actions on wetlands, streams, and other aquatic resources are included in the affected environment, which is described in section 3.0. The affected environment described in section 3.0 also includes present effects of past actions, including activities authorized by NWPs issued from 1977 to 2012 and constructed by permittees, which are captured in national information on the quantity and quality of wetlands, streams, and other aquatic resources.

In addition to the activities authorized by this NWP, there are many categories of activities that contribute to cumulative effects on wetlands, streams, and other aquatic resources in the United States, and alter the quantity of those resources, the functions they perform, and the ecosystem services they provide. Activities authorized by past versions of NWP 27, as well as other NWPs, individual permits, letters of permission, and regional general permits have resulted in direct and indirect impacts to wetlands, streams, and other aquatic resources. Those activities may have legacy effects that have added to the cumulative effects and affected the quantity of those resources and the functions they provide. Discharges of dredged or fill material that do not require DA permits because they are exempt from section 404 permit requirements can also adversely affect the quantity of the Nation's wetlands, streams, and other aquatic resources and the functions and services they provide. Discharges of dredged or fill material that convert wetlands, streams, and other aquatic resources to upland areas result in permanent losses of aquatic resource functions and services. Temporary fills and fills that do not convert waters or wetlands to dry land may cause short-term or partial losses of aquatic resource functions and services.

Humans have long had substantial impacts on ecosystems and the ecological functions and services they provide (Ellis et al. 2010). Around the beginning of the 19th century, the



degree of impacts of human activities on the Earth's ecosystems began to exceed the degree of impacts to ecosystems caused by natural disturbances and variability (Steffen et al. 2007). All of the Earth's ecosystems have been affected either directly or indirectly by human activities (Vitousek et al. 1997). Over 75 percent of the ice-free land on Earth has been altered by human occupation and use (Ellis and Ramankutty 2008). Approximately 33 percent of the Earth's ice-free land consists of lands heavily used by people: urban areas, villages, lands used to produce crops, and occupied rangelands (Ellis and Ramankutty 2008). For marine ecosystems, Halpern et al. (2008) determined that there are no marine waters that are unaffected by human activities, and that 41 percent of the area of ocean waters are affected by multiple anthropogenic stressors (e.g., land use activities that generate pollution that go to coastal waters, marine habitat destruction or modification, and the extraction of resources). The marine waters most highly impacted by human activities are continental shelf and slope areas, which are affected by both land-based and ocean-based activities (Halpern et al. 2008). Human population density is a good indicator of the relative effect that people have had on local ecosystems, with lower population densities causing smaller impacts to ecosystems and higher population densities having larger impacts on ecosystems (Ellis and Ramankutty 2008). Human activities such as urbanization, agriculture, and forestry alter ecosystem structure and function by changing their interactions with other ecosystems, their biogeochemical cycles, and their species composition (Vitousek et al. 1997). Changes in land use reduce the ability of ecosystems to produce ecosystem services, such as food production, reducing infectious diseases, and regulating climate and air quality (Foley et al. 2005).

Recent changes in climate have had substantial impacts on natural ecosystems and human communities (IPCC 2014). Climate change, both natural and anthropogenic, is a major driving force for changes in ecosystem structure, function, and dynamics (Millar and Brubaker 2006). However, there are other significant drivers of change to aquatic and terrestrial ecosystems. In addition to climate change, aquatic and terrestrial ecosystems are also adversely affected by land use and land cover changes, natural resource extraction (including water withdrawals), pollution, species introductions, and removals of species (Staudt et al. 2013, Bodkin 2012, MEA 2005d) and changes in nutrient cycling (Julius et al. 2013).

Cumulative effects to wetlands, streams, and other aquatic resources in the United States are not limited to the effects caused by activities regulated and authorized by the Corps under Section 404 of the Clean Water Act and Section 10 of the Rivers and Harbors Act of 1899. Other federal, non-federal, and private activities also contribute to the cumulative effects to wetlands, streams, and other aquatic resources, by changing the quantity of those resources and the functions they provide. Wetlands, streams, and other aquatic resources and the functions and services they provide are directly and indirectly affected by changes in land use and land cover, alien species introductions, overexploitation of species, pollution, eutrophication due to excess nutrients, resource extraction including water withdrawals, climate change, and various natural disturbances (MEA 2005b). Freshwater ecosystems such as lakes, rivers, and streams are altered by changes to water flow, climate change, land use changes, additions of chemicals, resource extraction, and aquatic invasive species (Carpenter et al. 2011). Cumulative effects to wetlands, streams, and other aquatic resources are the

result of landscape-level processes (Gosselink and Lee 1989). As discussed in more detail below, cumulative effects to aquatic resources are caused by a variety of activities (including activities that occur entirely in uplands) that take place within a landscape unit, such as the watershed for a river or stream (e.g., Allan 2004, Paul and Meyer 2001, Leopold 1968) or the contributing drainage area for a wetland (e.g., Wright et al. 2006, Brinson and Malvárez 2002, Zedler and Kercher 2005).

Cumulative effects also include environmental effects caused by reasonably foreseeable future actions that may take place after the permitted activity is completed. Such effects may include direct and indirect environmental effects caused by the operation and maintenance of the facility constructed on the discharge of dredged or fill material into waters of the United States or the structures or work in navigable waters of the United States. For NWP 27, this includes activities associated with the management of the aquatic habitats restored, enhanced, or established as a result of activities authorized by this NWP. A variety of pollutants might be released into the environment during management of these restored, enhanced, or established aquatic habitats. Management activities may also adversely affect the organisms inhabiting those areas. Management activities may also involve discharges of herbicides, insecticides, and other chemical controls. Point-source discharges would likely require National Pollutant Discharge Elimination System Permits under Section 402 of the Clean Water Act, which is administered by U.S. EPA or by states with approved programs. Pollutants may also be discharged through spills and other accidents. Management activities may also have other direct and indirect effects on wetlands, streams, and other aquatic resources. The Corps does not have the authority to regulate management activities that: (1) do not involved discharges of dredged or fill material into waters of the United States; (2) involve activities exempt from Clean Water Act Section 404 permit requirements under section 404(f); and (3) do not involve structures or work requiring DA authorization under Sections 9 or 10 of the Rivers and Harbors Act of 1899. Reasonably foreseeable future actions regulated by the Corps are considered during the evaluation process.

In a specific watershed, division or district engineers may determine that the cumulative adverse environmental effects of activities authorized by this NWP are more than minimal. Division and district engineers will conduct more detailed assessments for geographic areas that are determined to be potentially subject to more than minimal cumulative adverse environmental effects. Division and district engineers have the authority to require individual permits in watersheds or other geographic areas where the cumulative adverse environmental effects are determined to be more than minimal, or add conditions to the NWP either on a case-by-case or regional basis to require mitigation measures to ensure that the cumulative adverse environmental effects of these activities are no more than minimal. When a division or district engineer determines, using local or regional information, that a watershed or other geographic area is subject to more than minimal cumulative adverse environmental effects due to the use of this NWP, he or she will use the revocation and modification procedure at 33 CFR 330.5. In reaching the final decision, the division or district engineer will compile information on the cumulative adverse effects and supplement this document.

The Corps expects that the convenience and time savings associated with the use of this

NWP will encourage applicants to design their projects within the scope of the NWP rather than request individual permits for projects which could result in greater adverse impacts to the aquatic environment. The minimization encouraged by the issuance of this NWP, as well as compensatory mitigation that may be required for specific activities authorized by this NWP, will help reduce cumulative effects to the Nation's wetlands, streams, and other aquatic resources.

Cumulative effects to specific categories of resources (i.e., resources of concern in accordance with CEQ's (1997) guidance) are discussed in more detail below. As discussed above, in addition to activities regulated under section 404 of the Clean Water Act and/or section 10 of the Rivers and Harbors Act of 1899, there are many categories of activities that contribute to cumulative effects to the human environment. The activities authorized by this NWP during the 5-year period it will be in effect will result in no more than minimal incremental contributions to cumulative effects to these resource categories.

#### 4.3.2 Cumulative Effects to Aquatic Ecosystems

The ecological condition of rivers and streams is dependent on the state of their watersheds (NRC 1992), because they are affected by activities that occur in those watersheds, including agriculture, urban development, deforestation, mining, water removal, flow alteration, and invasive species (Palmer et al. 2010). Land use changes affect rivers and streams through increased sedimentation, larger inputs of nutrients (e.g., nitrogen, phosphorous) and pollutants (e.g., heavy metals, synthetic chemicals, toxic organics), altered stream hydrology, the alteration or removal of riparian vegetation, and the reduction or elimination of inputs of large woody debris (Allan 2004). Agriculture is the primary cause of stream impairment, followed by urbanization (Foley et al. 2005, Paul and Meyer 2001). Agricultural land use adversely affects stream water quality, habitat, and biological communities (Allan 2004). Urbanization causes changes to stream hydrology (e.g., higher flood peaks, lower base flows), sediment supply and transport, water chemistry, and aquatic organisms (Paul and Meyer 2001). Leopold (1968) found that land use changes affect the hydrology of an area by altering stream flow patterns, total runoff, water quality, and stream structure. Changes in peak flow patterns and runoff affect stream channel stability. Stream water quality is adversely affected by increased inputs of sediments, nutrients, and pollutants, many of which come from non-point sources (Paul and Meyer 2001, Allan and Castillo 2007).

The construction and operation of water-powered mills in the 17th to 19th centuries substantially altered the structure and function of streams in the eastern United States (Walter and Merritts 2008) and those effects have persisted to the present time. In urbanized and agricultural watersheds, the number of small streams has been substantially reduced, in part by activities that occurred between the 19th and mid-20th centuries (Meyer and Wallace 2001). Activities that affect the quantity and quality of small streams include residential, commercial, and industrial development, mining, agricultural activities, forestry activities, and road construction (Meyer and Wallace 2001), even if those activities are located entirely in uplands.

Activities that affect wetland quantity and quality include: land use changes that alter local hydrology (including water withdrawal), clearing and draining wetlands, constructing levees that sever hydrologic connections between rivers and floodplain wetlands, constructing other obstructions to water flow (e.g., dams, locks), constructing water diversions, inputs of nutrients and contaminants, and fire suppression (Brinson and Malvárez 2002). Wetland loss and degradation is caused by hydrologic modifications of watersheds, drainage activities, logging, agricultural runoff, urban development, conversion to agriculture, aquifer depletion, river management, (e.g., channelization, navigation improvements, dams, weirs), oil and gas development activities, levee construction, peat mining, and wetland management activities (Mitsch and Hernandez 2013). Upland development adversely affects wetlands and reduces wetland functionality because those activities change surface water flows and alter wetland hydrology, contribute stormwater and associated sediments, nutrients, and pollutants, cause increases in invasive plant species abundance, and decrease the diversity of native plants and animals (Wright et al. 2006). Many of the remaining wetlands in the United States are degraded (Zedler and Kercher 2005). Wetland degradation and losses are caused by changes in water movement and volume within a watershed or contributing drainage area, altered sediment transport, drainage, inputs of nutrients from non-point sources, water diversions, fill activities, excavation activities, invasion by non-native species, land subsidence, and pollutants (Zedler and Kercher 2005). According to Mitsch and Gosselink (2015), categories of activities that alter wetlands include: wetland conversion through drainage, dredging, and filling; hydrologic modifications that change wetland hydrology and hydrodynamics; highway construction and its effects on wetland hydrology; peat mining; waterfowl and wildlife management; agriculture and aquaculture activities; water quality enhancement activities; and flood control and stormwater protection.

There is also little national-level information on the ecological condition of the Nation's wetlands, streams, and other aquatic resources, or the amounts of functions they provide, although reviews have acknowledged that most of these resources are degraded (Zedler and Kercher 2005, Allan 2004) or impaired (U.S. EPA 2015) because of various activities, disturbances, and other stressors. These data deficiencies make it more difficult to characterize the affected environment to assess cumulative effects, and the relative contribution of the activities authorized by this NWP to those cumulative effects.

As discussed in section 3.0 of this document there is a wide variety of causes and sources of impairment of the Nation's rivers, streams, wetlands, lakes, estuarine waters, and marine waters (U.S. EPA 2015), which also contribute to cumulative effects to these aquatic resources. Many of those causes of impairment are point and non-point sources of pollutants that are not regulated under Section 404 of the Clean Water Act or Section 10 of the Rivers and Harbors Act of 1899. Two common causes of impairment for rivers and streams, habitat alterations and flow alterations, may be due in part to activities regulated by the Corps under Section 404 of the Clean Water Act and/or Section 10 of the Rivers and Harbors Act of 1899. Habitat and flow alterations may also be caused by activities that do not involve discharges of dredged or fill material or structures or work in navigable waters. For wetlands, impairment due to habitat alterations, flow alterations, and hydrology modifications may involve activities regulated under section 404, but these causes of impairment may also be due to unregulated activities, such as changes in upland land use

that affects the movement of water through a watershed or contributing drainage area or the removal of vegetation.

Many of the activities discussed in this cumulative effects section that affect wetlands, streams, and other aquatic resources are not subject to regulation under Section 404 of the Clean Water Act or Section 10 of the Rivers and Harbors Act of 1899.

Estimates of the original acreage of wetlands in the United States vary widely because of the use of different definitions and how those estimates were made (Harris and Gosselink 1990). Dahl (1990) estimates that approximately 53 percent of the wetlands in the conterminous United States were lost in the 200-year period covering the 1780s to 1980s. Much of the wetland loss occurred in the mid-19th century as a result of indirect effects of beaver trapping and the removal of river snags, which substantially reduced the amount of land across the country that was inundated because of beaver dams and river obstructions (Harris and Gosselink 1990). The annual rate of wetland loss has decreased substantially since the 1970s (Dahl 2011), when wetland regulation became more prevalent (Brinson and Malvárez 2002). Between 2004 and 2009, there was no statistically significant difference in wetland acreage in the conterminous United States (Dahl 2011). According to the 2011 wetland status and trends report, during the period of 2004 to 2009 urban development accounted for 11 percent of wetland losses (61,630 acres), rural development resulted in 12 percent of wetland losses (66,940 acres), silviculture accounted for 56 percent of wetland losses (307,340 acres), and wetland conversion to deepwater habitats caused 21 percent of the loss in wetland area (115,960 acres) (Dahl 2011). Some of the losses occurred to wetlands that are not subject to Clean Water Act jurisdiction and some losses are due to activities not regulated under Section 404 of the Clean Water Act, such as unregulated drainage activities, exempt forestry activities, or water withdrawals. From 2004 to 2009, approximately 100,020 acres of wetlands were gained as a result of wetland restoration and conservation programs on agricultural land (Dahl 2011). Another source of wetland gain is conversion of other uplands to wetlands (389,600 acres during 2004 to 2009) (Dahl 2011). Inventories of wetlands, streams, and other aquatic resources are incomplete, especially at national or regional scales, because the techniques used for those inventories cannot identify all of those resources, especially small wetlands and streams (e.g., Dahl (2011) for wetlands; Meyer and Wallace (2001) for streams).

As discussed in section 3.0, national scale inventories of wetlands, streams, and other types of aquatic resources underestimate the quantity of those resources, and only general information is available on their ability to perform ecological functions and services. Therefore, it is not appropriate to make decisions concerning the significance of cumulative effects by calculating the relative proportion of the aquatic resources baseline impacted by a particular action, or a series of actions subject to a particular federal program. In addition, such an approach does not take into account the many categories of other activities that have direct and indirect effects on aquatic resources that are regulated under other federal, states, or local programs or are not regulated by any entity. Under the Council on Environmental Quality's NEPA definition at 40 CFR 1508.7, a cumulative effects analysis should instead examine the relative contribution that a proposed action will have on cumulative effects to one or more categories of natural resources (i.e., "the incremental impact of the action" and

whether that incremental impact is significant or not significant).

For aquatic ecosystems, climate change affects water quality, biogeochemical cycling, and water storage (Julius et al. 2013). Climate change will also affect the abundance and distribution of wetlands across the United States, as well as the functions they provide (Mitsch and Gosselink 2015). Climate change results in increases in stream temperatures, more waterbodies with anoxic conditions, degradation of water quality, and increases in flood and drought frequencies (Julius et al. 2013). The increasing carbon dioxide concentration in the atmosphere also changes the pH of the oceans, resulting in ocean acidification (RS and NAS 2014), which adversely affects corals and some other marine organisms.

Compensatory mitigation required by district engineers for specific activities authorized by this NWP will help reduce the contribution of those activities to the cumulative effects on the Nation's wetlands, streams, and other aquatic resources, by providing ecological functions to partially or fully replace some or all of the aquatic resource functions lost as a result of those activities. Compensatory mitigation requirements for the NWPs are described in general condition 23 and compensatory mitigation projects must also comply with the applicable provisions of 33 CFR part 332. District engineers will establish compensatory mitigation requirements on a case-by-case basis, after evaluating pre-construction notifications. Compensatory mitigation requirements for individual NWP activities will be specified through permit conditions added to NWP authorizations. When compensatory mitigation is required, the permittee is required to submit a mitigation plan prepared in accordance with the requirements of 33 CFR 332.4(c). Credits from approved mitigation banks or in-lieu fee programs may also be used to satisfy compensatory mitigation requirements for NWP authorizations. Monitoring is required to demonstrate whether the permittee-responsible mitigation project, mitigation bank, or in-lieu fee project is meeting its objectives and providing the intended aquatic resource structure and functions. If the compensatory mitigation project is not meeting its objectives, adaptive management will be required. Adaptive management may involve taking actions, such as site modifications, remediation, or design changes, to ensure the compensatory mitigation project meets its objectives (see 33 CFR 332.7(c)).

The estimated contribution of activities authorized by this NWP to the cumulative effects to wetlands, streams, and other aquatic resources in the United States during the five year period that the NWP would be in effect, in terms of the estimated number of time this NWP would be used until it expires and the projected impacts and compensatory mitigation, is provided in section 7.2.2. It is not practical or feasible to provide quantitative data on the multitude of other contributors to cumulative effects to these resources, including the federal, non-federal, and private activities that are not regulated by the Corps that will also occur during the five year period this NWP is in effect. National-level data on these many categories of activities that are not regulated by the Corps but contribute to cumulative effects are either not collected for the nation or they are not accessible. The activities authorized by this NWP will result in a minor incremental contribution to the cumulative effects to wetlands, streams, and other aquatic resources in the United States because, as discussed in this section, they are one category of many categories of activities that affect

those aquatic resources. The causes of cumulative effects discussed in this section include past, present, and reasonably foreseeable future federal, non-federal, and private activities. For the national-scale cumulative effects analysis presented in this section, it is not possible to quantify the relative contributions of all of the various activities that affect the quantity of wetlands, streams, and other aquatic resources and the functions and services they provide, because such data are not available at the national scale.

As discussed above, there are many categories of activities not regulated by the Corps under section 404 of the Clean Water Act and/or section 10 of the Rivers and Harbors Act of 1899 that contribute to cumulative effects to wetland, streams, and other aquatic resources. During the 5-year period this NWP is in effect, the activities it authorizes will result in only a no more than minimal incremental contribution to cumulative effects to wetlands, streams, and other aquatic ecosystems.

#### 4.3.3 Cumulative Effects to Coastal Areas

In the United States, approximately 39 percent of its population lives in counties that are next to coastal waters, the territorial seas, or the Great Lakes (NOAA 2013). Those counties comprise less than 10 percent of the land area of the United States (NOAA 2013). Coastal waters are also affected by a wide variety of activities. The major drivers of changes to coastal areas are: development activities that alter coastal forests, wetlands, and coral reef habitats for aquaculture and the construction of urban areas, industrial facilities, and resort and port developments (MEA 2005d). Dredging, reclamation, shore protection and other structures (e.g., causeways and bridges), and some types of fishing activities also cause substantial changes to coastal areas (MEA 2005d). Nitrogen pollution to coastal zones change coral reef communities (MEA 2005d). Adverse effects to coastal waters are caused by habitat modifications, point source pollution, non-point source pollution, changes to hydrology and hydrodynamics, exploitation of coastal resources, introduction of non-native species, global climate change, shoreline erosion, and pathogens and toxins (NRC 1994).

Substantial alterations of coastal hydrology and hydrodynamics are caused by land use changes in watersheds draining to coastal waters, the channelization or damming of streams and rivers, water consumption, and water diversions (NRC 1994). Approximately 52 percent of the population of the United States lives in coastal watersheds (NOAA 2013). Eutrophication of coastal waters is caused by nutrients contributed by waste treatment systems, non-point sources, and the atmosphere, and may cause hypoxia or anoxia in coastal waters (NRC 1994). Changes in water movement through watersheds may also alter sediment delivery to coastal areas, which affects the sustainability of wetlands and intertidal habitats and the functions they provide (NRC 1994). Most inland waters in the United States drain to coastal areas, and therefore activities that occur in inland watersheds affect coastal waters (NRC 1994). Inland land uses, such as agriculture, urban development, and forestry, adversely affect coastal waters by diverting fresh water from estuaries and by acting as sources of nutrients and pollutants to coastal waters (MEA 2005d).

Coastal wetlands have been substantially altered by urban development and changes to the watersheds that drain to those wetlands (Mitsch and Hernandez 2013). Coastal habitat

modifications are the result of dredging or filling coastal waters, inputs of sediment via non-point sources, changes in water quality, or alteration of coastal hydrodynamics (NRC 1994). Coastal development activities, including those that occur in uplands, affect marine and estuarine habitats (MEA 2005b). The introduction of non-native species may change the functions and structure of coastal wetlands and other habitats (MEA 2005b). Fishing activities may also modify coastal habitats by changing habitat structure and the biological communities that inhabit those areas (NRC 1994).

As discussed above, there are many categories of activities not regulated by the Corps under section 404 of the Clean Water Act and/or section 10 of the Rivers and Harbors Act of 1899 that contribute to cumulative effects to coastal areas. During the 5-year period this NWP is in effect, the activities it authorizes will result in only a no more than minimal incremental contribution to cumulative effects to coastal areas.

#### 4.3.4 Cumulative Effects to Endangered and Threatened Species

The status of species as threatened or endangered is also due to cumulative effects (NRC 1986, Odum 1982), and activities authorized by Department of the Army permits are a minor contributor to the cumulative effects to endangered and threatened species. Land use and land cover changes are the main cause of the loss of biodiversity (Vitousek et al. 1997). The decline of a species that leads to its status as endangered or threatened is usually caused by multiple factors rather than a single factor (Wilcove et al. 1998, Venter et al. 2006, Czech and Krausman 1997, Richter et al. 1997). It is difficult to determine the relative contribution of each cause of species decline or endangerment (Czech and Krausman 1997). For example, for fish species, the number of factors affecting their status ranged from 1 to 15, with an average of 4.5 threats. Over 40 percent of fish species were endangered or threatened as a result of 5 or more factors, and less than 7 percent of fish species were identified as imperiled because of a single factor. During the past few hundred years, human activities have increased species extinction rates by around 1,000 times the Earth's background extinction rates (MEA 2005c).

The main causes of the decline of species to endangered or threatened status are habitat loss and degradation, introduction of species, overexploitation, disease, and climate change (MEA 2005d). Habitat degradation also includes changes in habitat quality caused by habitat fragmentation and pollution. Habitat fragmentation can occur in rivers, and is characterized by disruption of a river's natural flow regime by dams, inter-basin water transfers, or water withdrawals and affects 90 percent of the world's river water volume (MEA 2005d). Invasive alien species are a major cause of species endangerment in freshwater habitats (MEA 2005d). Losses of biological diversity are directly caused by habitat modifications, including land use changes, alteration of river and stream flows, water withdrawals from rivers, losses of coral reefs, and alteration of the sea bed caused by trawling (MEA 2005c). Other direct causes of losses of biodiversity include pollution, invasive species, species overexploitation, climate change, and disease (MEA 2005c). There are often multiple factors interacting with each other to reduce biodiversity, instead of single factors working alone (MEA 2005c).



Wilcove et al. (1998) evaluated five categories of threats to species in the United States, and conducted further analyses on the types of habitat destruction that caused species to be listed as endangered or threatened under the Endangered Species Act. The five categories of threats were habitat destruction, alien species, overharvest, pollution, and disease. Wilcove et al. (1998) focused on species under the jurisdiction of the U.S. Fish and Wildlife Service. More than half of the endangered and threatened species under the jurisdiction of the NMFS were listed after this study was published. Wilcove et al. (1998) found information on the threats to 1,880 species, out of a total of 2,490 species that were categorized as imperiled at that time. Habitat destruction and degradation was the most common threat, a factor for 85 percent of the imperiled species analyzed. The second most common threat was competition with non-native species, or predation by those species. For aquatic animal species, pollution was the second most common cause of endangerment, after habitat loss (Wilcove et al. 1998).

To more closely examine the causes of habitat loss, Wilcove et al. (1998) analyzed U.S. Fish and Wildlife endangered species listing documents and identified 14 categories of habitat loss or degradation: agriculture; livestock grazing; mining and oil and gas extraction; logging; infrastructure development; road construction and maintenance; military activities; outdoor recreation; use of off-road vehicles; water development projects (e.g., water diversions, flood control facilities; drainage projects; aquaculture; navigation); dams, impoundments, and other water barriers; pollutants (e.g., sediment and mining pollutants); residential and commercial developments; and disruption of fire ecology. Many species were subject to more than one cause of endangerment (Wilcove et al. 1998). Agriculture was the leading cause of habitat destruction, affecting 38 percent of endangered species, followed by residential and commercial development (35 percent), water development (30 percent), and infrastructure development (17 percent). Habitat destruction caused by water development affected 91 percent of listed fish species and 99 percent of listed mussel species.

Richter et al. (1997) studied the factors that endanger freshwater animals. The most significant threats to those species are habitat destruction, habitat fragmentation, pollution, and exotic species. Richter et al. (1997) also looked at the stressors that are impeding the recovery of aquatic species at risk of extinction and found that changes in stream bed substrate composition (e.g., siltation), hydrologic alteration, interactions with other species, nutrient inputs, and habitat destruction were the most common factors. The major sources of stressors to aquatic species are agricultural land use, urban land use, energy generation industries (especially hydroelectric power), and exotic species (Richter et al. 1997). Agricultural activity was identified as having significant adverse effects on aquatic species through non-point source pollution (sediment and nutrients), interactions with exotic species, and water impoundments (Richter et al. 1997). Water impoundments cause changes in hydrology, as well as habitat destruction and fragmentation. Urban land use resulted in much less non-point source pollution than agricultural activities (Richter et al. 1997).

Note that in these studies on species threats and endangerment, the categories of human activities are discussed in general terms, and may include activities in uplands as well as activities in jurisdictional and non-jurisdictional waters and wetlands. Climate change will

also alter species distributions, and extinction may occur for those species that cannot adjust to the changes in climate (Starzmoski 2013).

As discussed above, there are many categories of activities not regulated by the Corps under section 404 of the Clean Water Act and/or section 10 of the Rivers and Harbors Act of 1899 that contribute to cumulative effects to endangered and threatened species and their designated critical habitats. During the 5-year period this NWP is in effect, the activities it authorizes will result in only a no more than minimal incremental contribution to cumulative effects to endangered and threatened species and their habitats.

#### ***4.4 Climate Change***

Climate change represents one of the greatest challenges our country faces with profound and wide-ranging implications for the health and welfare of Americans, economic growth, the environment, and international security. Evidence of the warming of climate system is unequivocal and the emission of greenhouse gases from human activities is the primary driver of these changes (IPCC 2014). Already, the United States is experiencing the impacts of climate change and these impacts will continue to intensify as warming intensifies. It will have far-reaching impacts on natural ecosystems and human communities. These effects include sea level rise, ocean warming, increases in precipitation in some areas and decreases in precipitation in other areas, decreases in sea ice, more extreme weather and climate events including more floods and droughts, increasing land surface temperatures, increasing ocean temperatures, and changes in plant and animal communities (IPCC 2014). Climate change also affects human health in some geographic area by increasing exposure to ground-level ozone and/or particulate matter air pollution (Luber et al. 2014). Climate change also increases the frequency of extreme heat events that threaten public health and increases risk of exposure to vector-borne diseases (Luber et al. 2014). Climate impacts affect the health, economic well-being, and welfare of Americans across the country, and especially children, the elderly, and others who are particularly vulnerable to specific impacts. Climate change can affect ecosystems and species through a number of mechanisms, such as direct effects on species, populations, and ecosystems; compounding the effects of other stressors; and the direct and indirect effects of climate change mitigation or adaptation actions (Staudt et al. 2013). Other stressors include land use and land cover changes, natural resource extraction (including water withdrawals), pollution, species introductions, and removals of species (Staudt et al. 2013, Bodkin 2012, MEA 2005d) and changes in nutrient cycling (Julius et al. 2013).

### **5.0 Public Interest Review**

#### ***5.1 Public Interest Review Factors (33 CFR 320.4(a)(1))***

For each of the 20 public interest review factors, the extent of the Corps consideration of expected impacts resulting from the use of this NWP is discussed, as well as the reasonably

foreseeable cumulative adverse effects that are expected to occur. The Corps decision-making process involves consideration of the benefits and detriments that may result from the activities authorized by this NWP.

(a) Conservation: The activities authorized by this NWP will improve the natural resource characteristics of the project area through the restoration, enhancement, and establishment of aquatic habitats.

(b) Economics: The activities authorized by this NWP will benefit certain segments of the local economy, especially recreational activities that depend on large populations of fish and wildlife. Aquatic resource restoration, establishment, and enhancement activities will have positive impacts on the local economy. During construction, these activities will generate jobs and revenue for local contractors as well as revenue to building supply companies that sell construction materials. Other facilities associated with these types of activities, such as nature preserves, parks, hunting areas, fishing areas, and hiking trails will provide employment opportunities for the operation and maintenance of these facilities.

(c) Aesthetics: The activities authorized by this NWP may alter the visual character of some waters of the United States, but usually these alterations will be beneficial. The extent and perception of these changes will vary, depending on the size and configuration of the authorized activity, the nature of the surrounding area, and the public uses of the area. Air quality and noise levels are unlikely to be adversely affected by aquatic resource restoration, establishment, and enhancement activities, except during construction.

(d) General environmental concerns: Activities authorized by this NWP will not adversely affect general environmental concerns, such as water, air, noise, and land pollution, except during construction. The authorized activities will improve the physical, chemical, and biological characteristics of the aquatic environment. Adverse effects to the chemical composition of the aquatic environment will be controlled by general condition 6, which states that the material used for construction must be free from toxic pollutants in toxic amounts. Specific environmental concerns are addressed in other sections of this document.

(e) Wetlands: In general, wetlands will be restored, enhanced, or established through activities authorized by this NWP. Activities into waters of the United States for aquatic resource restoration, establishment, and enhancement projects may result in the alteration of wetlands. Non-tidal wetlands may also be changed by conversion to another aquatic habitat type, but the same type of wetland (e.g., emergent, scrub-shrub) must be provided elsewhere on the project site. The conversion of tidal wetlands is not authorized by this NWP. Tidal wetlands may be rehabilitated or enhanced by activities authorized by this NWP. Some wetlands may be temporarily impacted by the activity when used for temporary staging areas and access roads. These wetlands will be restored, but the plant community may be different, especially if the site was originally forested.

Wetlands provide habitat, including foraging, nesting, spawning, rearing, and resting sites for aquatic and terrestrial species. The alteration of wetlands may alter natural drainage patterns. Wetlands reduce erosion by stabilizing the substrate. Wetlands also act as storage

areas for stormwater and flood waters. Wetlands may act as groundwater discharge or recharge areas. The loss of wetland vegetation will adversely affect water quality because these plants trap sediments, pollutants, and nutrients and transform chemical compounds. Wetland vegetation also provides habitat for microorganisms that remove nutrients and pollutants from water. Wetlands, through the accumulation of organic matter, act as sinks for some nutrients and other chemical compounds, reducing the amounts of these substances in the water.

Division engineers can regionally condition this NWP to restrict or prohibit its use in high value non-tidal wetlands. General condition 22 requires submittal of a pre-construction notification prior to use of this NWP in designated critical resource waters and adjacent wetlands, which may include high value wetlands. District engineers will also exercise discretionary authority to require an individual permit if the affected wetlands are high value and the activity will result in more than minimal adverse environmental effects. District engineers can also add case-specific special conditions to the NWP authorization to reduce impacts to wetlands.

(f) Historic properties: General condition 20 states that in cases where the district engineer determines that the activity may affect properties listed, or eligible for listing, in the National Register of Historic Places, the activity is not authorized, until the requirements of Section 106 of the National Historic Preservation Act have been satisfied.

(g) Fish and wildlife values: This NWP authorizes activities that restore, establish, or enhance aquatic habitat for many species of fish and wildlife. Activities authorized by this NWP may alter the habitat characteristics of streams and wetlands, favoring certain species at the expense of other species. Tidal open waters, tidal streams, and tidal wetlands may be rehabilitated or enhanced as a result of activities authorized by this NWP. Wetland and riparian vegetation provides food and habitat for many species, including foraging areas, resting areas, corridors for wildlife movement, and nesting and breeding grounds. Open waters provide habitat for fish and other aquatic organisms. Fish and other motile animals will avoid the project site during construction. Woody riparian vegetation shades streams, which reduces water temperature fluctuations and provides habitat for fish and other aquatic animals. Riparian vegetation provides organic matter that is consumed by fish and aquatic invertebrates. Woody riparian vegetation creates habitat diversity in streams when trees and large shrubs fall into the channel, forming snags that provide habitat and shade for fish. The morphology of a stream channel may be altered by activities authorized by this NWP, which can affect fish populations, but such changes should improve the quality of aquatic habitat. The project proponent may remove invasive non-native plant species to improve the quality of fish and wildlife habitat. If the site is to be planted by the project proponent, only native species should be planted. For those activities authorized by this NWP that require pre-construction notification, the district engineer will have an opportunity to review the proposed activity and assess potential impacts on fish and wildlife values to ensure that the authorized activity results in no more than minimal adverse environmental effects.

General condition 2 will reduce the adverse effects to fish and other aquatic species by prohibiting activities that substantially disrupt the movement of indigenous aquatic species,

unless the primary purpose of the activity is to impound water. Compliance with general conditions 3 and 5 will ensure that the authorized activity has no more than minimal adverse effects on spawning areas and shellfish beds, respectively. The authorized activity cannot have more than minimal adverse effects on breeding areas for migratory birds, due to the requirements of general condition 4.

For an NWP activity, compliance with the Bald and Golden Eagle Protection Act (16 U.S.C. 668(a)-(d)), the Migratory Bird Treaty Act (16 U.S.C. 703; 16 U.S.C. 712), and the Marine Mammal Protection Act (16 U.S.C. 1361 et seq.) is the responsibility of the project proponent. General condition 19 states that the permittee is responsible for contacting appropriate local office of the U.S. Fish and Wildlife Service to determine applicable measures to reduce impacts to migratory birds or eagles, including whether “incidental take” permits are necessary and available under the Migratory Bird Treaty Act or Bald and Golden Eagle Protection Act for a particular activity.

Consultation pursuant to the essential fish habitat provisions of the Magnuson-Stevens Fishery Conservation and Management Act will occur as necessary for proposed NWP activities that may adversely affect essential fish habitat. Consultation may occur on a case-by-case or programmatic basis. Division and district engineers can impose regional and special conditions to ensure that activities authorized by this NWP will result in no more than minimal adverse effects on essential fish habitat.

(h) Flood hazards: The activities authorized by this NWP are unlikely to adversely affect the flood-holding capacity of 100-year floodplains. Compliance with general condition 9 will also reduce flood hazards. This general condition requires the permittee to maintain, to the maximum extent practicable, the pre-construction course, condition, capacity, and location of open waters, except under certain circumstances.

(i) Floodplain values: Activities authorized by this NWP may affect floodplain values by changing plant communities, substrate, and elevations. In most cases, these changes will be beneficial to the aquatic environment. The flood-holding capacity of the floodplain is unlikely to be adversely affected by the activities authorized by this NWP. Some of the activities authorized by this NWP may be designed to increase the frequency of flooding to improve local water quality and benefit certain organisms that depend on flooding patterns as part of their life cycles. The fish and wildlife habitat values of floodplains may be adversely affected by activities authorized by this NWP, by modifying or eliminating areas used for nesting, foraging, resting, and reproduction by certain species of wildlife. The water quality functions of floodplains may also be altered by these activities. Modification of the floodplain may also affect other hydrological processes, such as groundwater recharge.

The stream and wetland restoration and enhancement activities authorized by this NWP will have only minor adverse effects on floodplain values. General condition 23 requires avoidance and minimization of impacts to waters of the United States to the maximum extent practicable at the project site, which will reduce losses of floodplain values. The mitigation requirements of this general condition will ensure that the adverse effects of these

activities on floodplain values are no more than minimal. Compliance with general condition 9 will ensure that activities on floodplains will not cause more than minimal adverse effects on floodplain values, especially flood storage and conveyance.

(j) Land use: Activities authorized by this NWP will retain the natural land use of the project area. Conservation easements, deed restrictions, or other agreements to maintain the aquatic habitats on the property, including riparian areas, may be required as conditions added to this NWP by district engineers. Since the primary responsibility for land use decisions is held by state, local, and Tribal governments, the Corps scope of review is limited to significant issues of overriding national importance, such as navigation and water quality (see 33 CFR 320.4(j)(2)).

(k) Navigation: Activities authorized by this NWP will not adversely affect navigation, because these activities must comply with general condition 1. The pre-construction notification requirements and reported activities will allow district engineers to review proposed activities and assess the potential adverse effects on navigation. If there are navigation concerns, then the district engineer can exercise discretionary authority and require an individual permit for the proposed activity.

(l) Shore erosion and accretion: The activities authorized by this NWP may affect shore erosion and accretion processes, if they are constructed in coastal areas. These activities are likely to have minor adverse effects on shore erosion and accretion. The restoration, enhancement, or establishment of wetlands in coastal areas will stabilize sediments and improve water quality. Some bank protection may be necessary to protect the wetlands that are restored, enhanced, or established along the shore.

(m) Recreation: Activities authorized by this NWP may change the recreational uses of the area. Certain recreational activities, such as bird watching, hunting, and fishing may be improved by providing habitat for species that attract bird watchers, hunters, and fishermen. Some aquatic resource restoration, establishment, or enhancement activities may eliminate certain recreational uses of the area, especially if the landowner restricts access to the area. Overall, the activities authorized by this NWP will benefit certain recreational uses of the area.

(n) Water supply and conservation: Activities authorized by this NWP may affect both surface water and groundwater supplies. Surface water supplies may be increased through the construction of impoundments. Groundwater recharge may be improved by wetland restoration, establishment, or enhancement activities. The activities authorized by this NWP are likely to enhance water supplies by improving local water quality. General condition 7 prohibits discharges in the vicinity of public water supply intakes.

(o) Water quality: The activities authorized by this NWP will improve water quality. These activities will increase the quantity and quality of wetlands, riparian areas, and streams in the watershed. The establishment and maintenance of wetland and riparian vegetation will improve water quality because these plants trap sediments, pollutants, and nutrients and transform chemical compounds. Wetland and riparian vegetation also

provides habitat for microorganisms that remove nutrients and pollutants from water. Wetlands, through the accumulation of organic matter, act as sinks for some nutrients and other chemical compounds, reducing the amounts of these substances in the water column. Wetlands and riparian areas also decrease the velocity of flood waters, removing suspended sediments from the water column and reducing turbidity. Riparian vegetation also serves an important role in the water quality of streams by shading the water from the intense heat of the sun.

During construction, small amounts of oil and grease from construction equipment may be discharged into the waterway. Because most of the construction will occur during a relatively short period of time, the frequency and concentration of these discharges are not expected to have more than minimal adverse effects on overall water quality. This NWP requires Section 401 water quality certification, since it authorizes discharges of dredged or fill material into waters of the United States. Most water quality concerns are addressed by the state or Tribal Section 401 agency.

(p) Energy needs: During construction, the activities authorized by this NWP will temporarily increase energy consumption in the area, but adverse effects to energy needs will be negligible.

(q) Safety: The activities authorized by this NWP will be subject to Federal, state, and local safety laws and regulations. Therefore, this NWP will not adversely affect the safety of the project area.

(r) Food and fiber production: Activities authorized by this NWP may adversely affect food and fiber production, especially where wetland restoration, establishment, or enhancement projects are conducted on land used for agricultural production. Stream restoration and enhancement activities may also decrease the amount of farmland, if, for example, a riparian zone is established along a stream that runs through cropland. The loss of farmland is more appropriately addressed through the land use planning and zoning authority held by state and local governments. Some aquatic habitat restoration, establishment, and enhancement activities may increase populations of economically important game species, which provide food for some citizens.

(s) Mineral needs: Activities authorized by this NWP may increase demand for aggregates and stone, which may be used to construct the aquatic resource restoration, establishment, or enhancement project. The activities authorized by this NWP will have negligible adverse effects on the demand for other building materials, such as steel, aluminum, and copper, which are made from mineral ores.

(t) Considerations of property ownership: The NWP complies with 33 CFR 320.4(g), which states that an inherent aspect of property ownership is a right to reasonable private use. The NWP provides expedited DA authorization for aquatic resource restoration, establishment, and enhancement activities, provided the activity complies with the terms and conditions of the NWP and results in no more than minimal adverse environmental effects.

## ***5.2 Additional Public Interest Review Factors (33 CFR 320.4(a)(2))***

### **5.2.1 Relative extent of the public and private need for the proposed structure or work**

This NWP authorizes activities in all waters of the United States, including discharges of dredged or fill material, for aquatic resource restoration, establishment, and enhancement activities that have no more than minimal individual and cumulative adverse environmental effects. These activities satisfy public and private needs for aquatic resource functions, services, and values. The need for this NWP is based upon the number of these activities that occur annually with no more than minimal individual and cumulative adverse environmental effects.

### **5.2.2 Where there are unresolved conflicts as to resource use, the practicability of using reasonable alternative locations and methods to accomplish the objective of the proposed structure or work**

Most situations in which there are unresolved conflicts concerning resource use arise when environmentally sensitive areas are involved (e.g., special aquatic sites, including wetlands) or where there are competing uses of a resource. The nature and scope of the activity, when planned and constructed in accordance with the terms and conditions of this NWP, reduce the likelihood of such conflict. In the event that there is a conflict, the NWP contains provisions that are capable of resolving the matter (see Section 1.2 of this document).

General condition 23 requires permittees to avoid and minimize adverse effects to waters of the United States to the maximum extent practicable on the project site. Consideration of off-site alternative locations is not required for activities that are authorized by general permits. General permits authorize activities that have no more than minimal individual and cumulative adverse effects on the environment and the overall public interest. The district engineer will exercise discretionary authority and require an individual permit if the proposed activity will result in more than minimal adverse environmental effects on the project site. The consideration of off-site alternatives can be required during the individual permit process.

### **5.2.3 The extent and permanence of the beneficial and/or detrimental effects which the proposed structure or work is likely to have on the public and private uses to which the area is suited**

The nature and scope of the activities authorized by the NWP will most likely restrict the extent of the beneficial and detrimental effects to the area immediately surrounding the aquatic resource restoration, establishment, or enhancement activity. Activities authorized by this NWP will have no more than minimal individual and cumulative adverse environmental effects.

The terms, conditions, and provisions of the NWP were developed to ensure that individual and cumulative adverse environmental effects are no more than minimal. Specifically, NWPs do not obviate the need for the permittee to obtain other Federal, state, or local



authorizations required by law. The NWP's do not grant any property rights or exclusive privileges (see 33 CFR 330.4(b) for further information). Additional conditions, limitations, restrictions, and provisions for discretionary authority, as well as the ability to add activity-specific or regional conditions to this NWP, will provide further safeguards to the aquatic environment and the overall public interest. There are also provisions to allow suspension, modification, or revocation of the NWP.

## **6.0 Endangered and Threatened Species**

The Corps' current regulations and procedures for the NWP's result in compliance with Section 7 of the Endangered Species Act (ESA) and ensure that activities authorized by this NWP will not jeopardize the continued existence or any listed threatened and endangered species or result in the destruction or adverse modification of critical habitat. Current local procedures in Corps districts are effective in ensuring compliance with ESA. Those local procedures include regional programmatic consultations and the development of Standard Local Operating Procedures for Endangered Species (SLOPES). The issuance or reissuance of an NWP, as governed by NWP general condition 18 (which applies to every NWP and which relates to endangered and threatened species and critical habitat) and 33 CFR 330.4(f), results in "no effect" to listed species or critical habitat, because no activity that "may affect" listed species or critical habitat is authorized by NWP unless ESA Section 7 consultation with the U.S. Fish and Wildlife Service (USFWS) and/or National Marine Fisheries Service (NMFS) has been completed. Activities that do not comply with general condition 18 or other applicable general or regional conditions are not authorized by any NWP, and thus fall outside of the NWP Program. Unauthorized activities are subject to the prohibitions of Section 9 of the ESA.

Each activity authorized by an NWP is subject to general condition 18, which states that "[n]o activity is authorized under any NWP which is likely to directly or indirectly jeopardize the continued existence of a threatened or endangered species or a species proposed for such designation, as identified under the Federal Endangered Species Act (ESA), or which will directly or indirectly destroy or adversely modify the critical habitat of such species." In addition, general condition 18 explicitly states that the NWP does not authorize "take" of threatened or endangered species, which will ensure that permittees do not mistake the NWP authorization as a Federal authorization to take threatened or endangered species. General condition 18 also requires a non-federal permittee to submit a pre-construction notification to the district engineer if any listed species or designated critical habitat might be affected or is in the vicinity of the project, or if the project is located in designated critical habitat. This general condition also states that, in such cases, non-federal permittees shall not begin work on the activity until notified by the district engineer that the requirements of the ESA have been satisfied and that the activity is authorized.

Under the current Corps regulations (33 CFR 325.2(b)(5)), the district engineer must review all permit applications for potential impacts on threatened and endangered species or critical habitat. For the NWP program, this review occurs when the district engineer evaluates the pre-construction notification or request for verification. Nationwide permit general

condition 18 requires a non-federal applicant to submit a pre-construction notification to the Corps if any listed species or designated critical habitat might be affected or is in the vicinity of the project, or if the project is located in designated critical habitat. Based on the evaluation of all available information, the district engineer will initiate consultation with the USFWS or NMFS, as appropriate, if he or she determines that the proposed activity may affect any threatened and endangered species or critical habitat. Consultation may occur during the NWP authorization process or the district engineer may exercise discretionary authority to require an individual permit for the proposed activity and initiate section 7 consultation during the individual permit process. If ESA Section 7 consultation is conducted during the NWP authorization process without the district engineer exercising discretionary authority, then the applicant will be notified that he or she cannot proceed with the proposed NWP activity until section 7 consultation is completed.

If the district engineer determines that the proposed NWP activity will have no effect on any threatened or endangered species or critical habitat, then the district engineer will notify the applicant that he or she may proceed under the NWP authorization as long as the activity complies with all other applicable terms and conditions of the NWP, including applicable regional conditions. When the Corps makes a “no effect” determination, that determination is documented in the record for the NWP verification.

In cases where the Corps makes a “may affect” determination, formal or informal section 7 consultation is conducted before the activity is authorized by NWP. A non-federal permit applicant cannot begin work until notified by the Corps that the proposed NWP activity will have “no effect” on listed species or critical habitat, or until ESA Section 7 consultation has been completed (see also 33 CFR 330.4(f)). Federal permittees are responsible for complying with ESA Section 7(a)(2) and should follow their own procedures for complying with those requirements (see 33 CFR 330.4(f)(1)). Therefore, permittees cannot rely on complying with the terms of an NWP without considering ESA-listed species and critical habitat, and they must comply with the NWP conditions to ensure that they do not violate the ESA. General condition 18 also states that district engineers may add activity-specific conditions to the NWPs to address ESA issues as a result of formal or informal consultation with the USFWS or NMFS.

Each year, the Corps conducts thousands of ESA section 7 consultations with the FWS and NMFS for activities authorized by NWPs. These section 7 consultations are tracked in ORM2. During the period of March 19, 2012, to September 30, 2016, Corps districts conducted 1,402 formal consultations and 9,302 informal consultations for NWP activities under ESA section 7. During that time period, the Corps also used regional programmatic consultations for 9,829 NWP verifications to comply with ESA section 7. Therefore, each year NWP activities are covered by an average of more than 4,500 formal, informal, and programmatic ESA section 7 consultations with the FWS and/or NMFS. In a study on ESA section 7 consultations tracked by the USFWS, Malcom and Li (2015) found that during the period of 2008 to 2015, the Corps conducted the most formal and informal section 7 consultations, far exceeding the numbers of section 7 consultations conducted by other federal agencies.

Section 7 consultations are often conducted on a case-by-case basis for activities proposed to be authorized by NWP that may affect listed species or critical habitat, in accordance with the USFWS's and NMFS's interagency regulations at 50 CFR part 402. Instead of activity-specific section 7 consultations, compliance with ESA may also be achieved through formal or informal regional programmatic consultations. Compliance with ESA Section 7 may also be facilitated through the adoption of NWP regional conditions. In some Corps districts SLOPES have been developed through consultation with the appropriate regional offices of the USFWS and NMFS to make the process of complying with section 7 more efficient.

Corps districts have, in most cases, established informal or formal procedures with local offices of the USFWS and NMFS, through which the agencies share information regarding threatened and endangered species and their critical habitat. This information helps district engineers determine if a proposed NWP activity may affect listed species or their critical habitat and, when a "may affect" determination is made, initiate ESA section 7 consultation. Corps districts may utilize maps or databases that identify locations of populations of threatened and endangered species and their critical habitat. Where necessary, regional conditions are added to one or more NWPs to require pre-construction notification for NWP activities that occur in known locations of threatened and endangered species or critical habitat. For activities that require agency coordination during the pre-construction notification process, the USFWS and NMFS will review the proposed activities for potential impacts to threatened and endangered species and their critical habitat. Any information provided by local maps and databases and any comments received during the pre-construction notification review process will be used by the district engineer to make a "no effect" or "may affect" determination for the pre-construction notification.

Based on the safeguards discussed in this section, especially general condition 18 and the NWP regulations at 33 CFR 330.4(f), the Corps has determined that the activities authorized by this NWP will not jeopardize the continued existence of any listed threatened or endangered species or result in the destruction or adverse modification of designated critical habitat. Although the Corps continues to believe that these procedures ensure compliance with the ESA, the Corps has taken some steps to provide further assurance. Corps district offices meet with local representatives of the USFWS and NMFS to establish or modify existing procedures such as regional conditions, where necessary, to ensure that the Corps has the latest information regarding the existence and location of any threatened or endangered species or their critical habitat. Corps districts can also establish, through local procedures or other means, additional safeguards that ensure compliance with the ESA. Through ESA Section 7 formal or informal consultations, or through other coordination with the USFWS and NMFS, the Corps establishes procedures to ensure that the NWP is not likely to jeopardize any threatened and endangered species or result in the destruction or adverse modification of designated critical habitat. Such procedures may result in the development of regional conditions added to the NWP by the division engineer, or in conditions to be added to a specific NWP authorization by the district engineer.

If informal section 7 consultation is conducted, and the USFWS and/or NMFS issues a written concurrence that the proposed activity may affect, but is not likely to adversely affect, listed species or designated critical habitat, the district engineer will add conditions

(e.g., minimization measures) to the NWP authorization that are necessary to avoid the likelihood of adverse effects to listed species or designated critical habitat. If the USFWS and/or NMFS does not issue a written concurrence that the proposed NWP activity “may affect, but is not likely to adversely affect” listed species or critical habitat, the Corps will initiate formal section 7 consultation if it changes its determination to “may affect, likely to adversely affect.”

If formal section 7 consultation is conducted and a biological opinion is issued, the district engineer will add a condition to the NWP authorization to incorporate the appropriate elements of the incidental take statement of the biological opinion into the NWP authorization, if the biological opinion concludes that the activity is not likely to jeopardize the continued existence of listed species or adversely modify or destroy critical habitat. If the biological opinion concludes that the proposed activity is likely to jeopardize the continued existence of listed species or adversely modify or destroy critical habitat, the proposed activity cannot be authorized by NWP and the district engineer will instruct the applicant to apply for an individual permit. The incidental take statement includes reasonable and prudent measures such as mitigation, monitoring, and reporting requirements that minimize incidental take. The appropriate elements of the incidental take statement are dependent on those activities in the biological opinion over which the Corps has control and responsibility (i.e., the discharges of dredged or fill material into waters of the United States and/or structures or work in navigable waters and their direct and indirect effects on listed species or critical habitat). The appropriate elements of the incidental take statement are those reasonable and prudent measures that the Corps has the authority to enforce under its permitting authorities. Incorporation of the appropriate elements of the incidental take statement into the NWP authorization by a binding, enforceable permit condition provides an exemption from the take prohibitions in ESA Section 9 (see Section 7(o)(2) of the ESA).

The Corps can modify this NWP at any time that it is deemed necessary to protect listed species or their critical habitat, either through: 1) national general conditions or national-level modifications, suspensions, or revocations of the NWPs; 2) regional conditions or regional modifications, suspensions, or revocations of NWPs; or 3) activity-specific permit conditions (modifications) or activity-specific suspensions or revocations of NWP authorizations. Therefore, although the Corps has issued the NWPs, the Corps can address any ESA issue, if one should arise. The NWP regulations also allow the Corps to suspend the use of some or all of the NWPs immediately, if necessary, while considering the need for permit conditions, modifications, or revocations. These procedures are provided at 33 CFR 330.5.

## **7.0 Clean Water Act Section 404(b)(1) Guidelines Analysis**

The 404(b)(1) Guidelines compliance criteria for general permits are provided at 40 CFR 230.7. This 404(b)(1) Guidelines compliance analysis includes analyses of the direct, secondary, and cumulative effects on the aquatic environment caused by discharges of dredged or fill material authorized by this NWP.

## ***7.1 Evaluation Process (40 CFR 230.7(b))***

### 7.1.1 Alternatives (40 CFR 230.10(a))

General condition 23 requires permittees to avoid and minimize discharges of dredged or fill material into waters of the United States to the maximum extent practicable on the project site. The consideration of off-site alternatives is not directly applicable to general permits (see 40 CFR 230.7(b)(1)).

### 7.1.2 Prohibitions (40 CFR 230.10(b))

This NWP authorizes discharges of dredged or fill material into waters of the United States, which require water quality certification. Water quality certification requirements will be met in accordance with the procedures at 33 CFR 330.4(c).

No toxic discharges will be authorized by this NWP. General condition 6 states that the material must be free from toxic pollutants in toxic amounts.

This NWP does not authorize activities that jeopardize the continued existence of any listed threatened or endangered species or result in the destruction or adverse modification of critical habitat. Reviews of preconstruction notifications, regional conditions, and local operating procedures for endangered species will ensure compliance with the Endangered Species Act. Refer to general condition 18 and to 33 CFR 330.4(f) for information and procedures.

This NWP will not authorize the violation of any requirement to protect any marine sanctuary. Refer to section 7.2.3(j)(1) of this document for further information.

### 7.1.3 Findings of Significant Degradation (40 CFR 230.10(c))

Potential impact analysis (Subparts C through F): The potential impact analysis specified in Subparts C through F is discussed in section 7.2.3 of this document. Mitigation required by the district engineer will ensure that the adverse effects on the aquatic environment are no more than minimal.

Evaluation and testing (Subpart G): Because the terms and conditions of the NWP specify the types of discharges that are authorized, as well as those that are prohibited, individual evaluation and testing for the presence of contaminants will normally not be required. If a situation warrants, provisions of the NWP allow division or district engineers to further specify authorized or prohibited discharges and/or require testing. General condition 6 requires that materials used for construction be free from toxic pollutants in toxic amounts.

Based upon Subparts B and G, after consideration of Subparts C through F, the discharges authorized by this NWP will not cause or contribute to significant degradation of waters of

the United States.

#### 7.1.4 Factual determinations (40 CFR 230.11)

The factual determinations required in 40 CFR 230.11 are discussed in section 7.2.3 of this document.

#### 7.1.5 Appropriate and practicable steps to minimize potential adverse impacts (40 CFR 230.10(d))

As demonstrated by the information in this document, as well as the terms, conditions, and provisions of this NWP, actions to minimize adverse effects (Subpart H) have been thoroughly considered and incorporated into the NWP. General condition 23 requires permittees to avoid and minimize discharges of dredged or fill material into waters of the United States to the maximum extent practicable on the project site. Since the activities authorized by this NWP must result in net increases in aquatic resource functions and services, compensatory mitigation is not necessary.

### ***7.2 Evaluation Process (40 CFR 230.7(b))***

#### 7.2.1 Description of permitted activities (40 CFR 230.7(b)(2))

As indicated by the text of this NWP in section 1.0 of this document, and the discussion of potential impacts in section 4.0, the activities authorized by this NWP are sufficiently similar in nature and environmental impact to warrant authorization under a single general permit. Specifically, the purpose of the NWP is to authorize discharges of dredged or fill material for aquatic resource restoration, establishment, and enhancement activities. The nature and scope of the impacts are controlled by the terms and conditions of the NWP.

The activities authorized by this NWP are sufficiently similar in nature and environmental impact to warrant authorization by a general permit. The terms of the NWP authorize a specific category of activity (i.e., discharges of dredged or fill material for aquatic resource restoration, establishment, and enhancement activities) in a specific category of waters (i.e., waters of the United States). The restrictions imposed by the terms and conditions of this NWP will result in the authorization of activities that have similar impacts on the aquatic environment, namely aquatic resource restoration, establishment, and enhancement activities.

If a situation arises in which the activity requires further review, or is more appropriately reviewed under the individual permit process, provisions of the NWPs allow division and/or district engineers to take such action.

#### 7.2.2 Cumulative effects (40 CFR 230.7(b)(3))

The 404(b)(1) Guidelines at 40 CFR 230.11(a) define cumulative effects as "...the changes

in an aquatic ecosystem that are attributable to the collective effect of a number of individual discharges of dredged or fill material.” For the issuance of general permits, such as this NWP, the 404(b)(1) Guidelines require the permitting authority to “set forth in writing an evaluation of the potential individual and cumulative impacts of the categories of activities to be regulated under the general permit.” [40 CFR 230.7(b)] More specifically, the 404(b)(1) Guidelines cumulative effects assessment for the issuance or reissuance of a general permit is to include an evaluation of “the number of individual discharge activities likely to be regulated under a general permit until its expiration, including repetitions of individual discharge activities at a single location.” [40 CFR 230.7(b)(3)] If a situation arises in which cumulative effects are likely to be more than minimal and the proposed activity requires further review, or is more appropriately reviewed under the individual permit process, provisions of the NWPs allow division and/or district engineers to take such action.

Based on reported use of this NWP during the period of March 19, 2012, to March 12, 2015, the Corps estimates that this NWP will be used approximately 1,350 times per year on a national basis, resulting in impacts to approximately 3,500 acres of waters of the United States, including jurisdictional wetlands. The reported use includes pre-construction notifications submitted to Corps districts, as required by the terms and conditions of the NWP. The reported use also includes the reports required to be submitted by certain entities when pre-construction notification is not required. Therefore, all activities authorized by this NWP are reported to the Corps districts.

Based on reported use of this NWP during that time period, the Corps estimates that 2 percent of the NWP 27 verifications will require compensatory mitigation to offset the authorized impacts to waters of the United States and ensure that the authorized activities result in only minimal adverse effects on the aquatic environment. The verified activities that do not require compensatory mitigation will have been determined by Corps district engineers to result in no more than minimal individual and cumulative adverse effects on the aquatic environment without compensatory mitigation. During 2017-2022, the Corps expects little change to the percentage of NWP 27 verifications requiring compensatory mitigation, because there have been no substantial changes in the mitigation general condition or the NWP regulations for determining when compensatory mitigation is to be required for NWP activities. The Corps estimates that approximately 300 acres of compensatory mitigation will be required per year to offset authorized impacts. The demand for these types of activities could increase or decrease over the five-year duration of this NWP.

Based on these annual estimates, the Corps estimates that approximately 6,750 activities could be authorized over a five year period until this NWP expires, resulting in impacts to approximately 17,500 acres of waters of the United States, including jurisdictional wetlands. Approximately 1,500 acres of compensatory mitigation would be required to offset those impacts. Compensatory mitigation is the restoration (re-establishment or rehabilitation), establishment, enhancement, and/or in certain circumstances preservation of aquatic resources for the purposes of offsetting unavoidable adverse impacts which remain after all appropriate and practicable avoidance and minimization has been achieved. [33 CFR 332.2]

Wetland restoration, enhancement, and establishment projects can provide wetland functions, as long as the wetland compensatory mitigation project is placed in an appropriate landscape position, has appropriate hydrology for the desired wetland type, and the watershed condition will support the desired wetland type (NRC 2001). Site selection is critical to find a site with appropriate hydrologic conditions and soils to support a replacement wetland that will provide the desired wetland functions and services (Mitsch and Gosselink 2015). The ecological performance of wetland restoration, enhancement, and establishment is dependent on practitioner's understanding of wetland functions, allowing sufficient time for wetland functions to develop, and allowing natural processes of ecosystem development (self-design or self-organization) to take place, instead of over-designing and over-engineering the replacement wetland (Mitsch and Gosselink (2015). Most studies of the ecological performance of compensatory mitigation projects have focused solely on the ecological attributes of the compensatory mitigation projects, and few studies have also evaluated the aquatic resources impacted by permitted activities (Kettlewell et al. 2008), so it is difficult to assess whether compensatory mitigation has fully or partially offset the lost functions provided by the aquatic resources that are impacted by permitted activities. In its review, the NRC (2001) concluded that some wetland types can be successfully restored or established (e.g., non-tidal emergent wetlands, some forested and scrub-shrub wetlands, sea grasses, and coastal marshes), while other wetland types (e.g., vernal pools, bogs, and fens) are difficult to restore and should be avoided where possible. Restored riverine and tidal wetlands achieved wetland structure and function more rapidly than depressional wetlands (Moreno-Mateos et al. 2012). Because of its greater potential to provide wetland functions, restoration is the preferred compensatory mitigation mechanism (33 CFR 332.3(a)(2)). Bogs, fens, and springs are considered to be difficult-to-replace resources and compensatory mitigation should be provided through in-kind rehabilitation, enhancement, or preservation of these wetlands types (33 CFR 332.3(e)(3)).

In its review of outcomes of wetland compensatory mitigation activities, the NRC (2001) stated that wetland functions can be replaced by wetland restoration and establishment activities. They discussed five categories of wetland functions: hydrology, water quality, maintenance of plant communities, maintenance of animal communities, and soil functions. Wetland functions develop at different rates in wetland restoration and establishment projects (NRC 2001). It is difficult to restore or establish natural wetland hydrology, and water quality functions are likely to be different than the functions provided at wetland impact sites (NRC 2001). Reestablishing or establishing the desired plant community may be difficult because of invasive species colonizing the mitigation project site (NRC 2001). The committee also found that establishing and maintaining animal communities depends on the surrounding landscape. Soil functions can take a substantial amount of time to develop, because they are dependent on soil organic matter and other soil properties (NRC 2001). The NRC (2001) concluded that the ecological success of replacing wetland functions depends on the particular function of interest, the restoration or establishment techniques used, and the extent of degradation of the compensatory mitigation project site and its watershed.

The ecological performance of wetland restoration and enhancement activities is affected by the amount of changes to hydrology and inputs of pollutants, nutrients, and sediments within



the watershed or contributing drainage area (Wright et al. 2006). Wetland restoration is becoming more effective at replacing or improving wetland functions, especially in cases where monitoring and adaptive management are used to correct deficiencies in these efforts (Zedler and Kercher 2005). Wetland functions take time to develop after the restoration or enhancement activity takes place (Mitsch and Gosselink 2015, Gebo and Brooks 2012), and different functions develop at different rates (Moreno-Mateos 2012). Irreversible changes to landscapes, especially those that affect hydrology within contributing drainage areas or watersheds, cause wetland degradation and impede the ecological performance of wetland restoration efforts (Zedler and Kercher 2005). Gebo and Brooks (2012) evaluated wetland compensatory mitigation projects in Pennsylvania and compared them to reference standards (i.e., the highest functioning wetlands in the study area) and natural reference wetlands that showed the range of variation due to human disturbances. They concluded that most of the wetland mitigation sites were functioning at levels within with the range of functionality of the reference wetlands in the region, and therefore were functioning at levels similar to some naturally occurring wetlands. The ecological performance of mitigation wetlands is affected by on the landscape context (e.g., urbanization) of the replacement wetland and varies with wetland type (e.g., riverine or depressional) (Gebo and Brooks 2012). Moreno-Mateos and others (2012) conducted a meta-analysis of wetland restoration studies and concluded that while wetland structure and function can be restored to a large degree, the ecological performance of wetland restoration projects is dependent on wetland size and local environmental setting. They found that wetland restoration projects that are larger in size and in less disturbed landscape settings achieve structure and function more quickly.

Streams are difficult-to-replace resources and compensatory mitigation should be provided through stream rehabilitation, enhancement, and preservation since those techniques are most likely to be ecologically successful (see 33 CFR 332.3(e)(3)). Stream rehabilitation is usually the most effective compensatory mitigation mechanism since restoring a stream to a historic state is not possible because of changes in land use and other activities in a watershed (Roni et al. 2008). Stream rehabilitation and enhancement projects, including the restoration and preservation of riparian areas, provide riverine functions (e.g., Allan and Castillo (2007) for rivers and streams, NRC (2002) for riparian areas). Improvements in ecological performance of stream restoration projects is dependent on the restoration method and how outcomes are assessed (Palmer et al. 2014). Non-structural and structural techniques can be used to rehabilitate and enhance streams, and restore riparian areas (NRC 1992). Non-structural practices include removing disturbances to allow recovery of stream and riparian area structure and function, reducing or eliminating activities that have altered stream flows to restore natural flows, preserving or restoring floodplains, and restoring and protecting riparian areas, including fencing those areas to exclude livestock and people (NRC 1992). Structural rehabilitation and enhancement techniques include dam removal, as well as channel, bank, and/or riparian area modifications to improve river and stream habitat (NRC 1992).

The restoration and enhancement of river and stream functions and services can be improved through a variety of techniques and in many cases combinations of these techniques are used (Roni et al. 2013). Examples of stream restoration and enhancement techniques include: dam removal and modification, culvert replacement or modification, fish passage structures

when connectivity cannot be restored or improved by dam removal or culvert replacement, levee removal or setbacks, reconnecting floodplains and other riparian habitats, road removal, road modifications, reducing sediment and pollution inputs to streams, replacing impervious surfaces with pervious surfaces, restoring adequate in-stream or base flows, restoring riparian areas, fencing streams and their riparian areas to exclude livestock, improving in-stream habitat, recreating meanders, and replacing hard bank stabilization structures with bioengineering bank stabilization measures (Roni et al. 2013). Road improvements, riparian rehabilitation, reconnecting floodplains to their rivers, and installing in-stream habitat structures have had varying degrees of successful ecological performance in stream rehabilitation activities (Roni et al. 2008). The ecological performance of these stream rehabilitation activities is strongly dependent on addressing impaired water quality and insufficient water quantity, since those factors usually limit the biological response to stream rehabilitation efforts (Roni et al. 2008). Ecologically successful stream rehabilitation and enhancement activities depend on addressing the factors that most strongly affect stream functions, especially water quality, water flow, and riparian quality, and not focusing solely on rehabilitating or enhancing the physical habitat of streams (Palmer et al. 2010). The ability to restore the ecological functions of streams is dependent on the condition of the watershed draining to the stream being restored because human land uses and other activities in the watershed affect how that stream functions (Palmer et al. 2014). Stream restoration projects should focus on restoring ecological processes, such as dam removal, watershed best management practices, improving the riparian zone, and reforestation, instead of focusing on the manipulation the structure of the stream channel (Palmer et al. 2014).

For compensatory mitigation projects, restoration is the preferred mechanism (see 33 CFR 332.3(a)(2)). In an analysis of 89 ecosystem restoration projects, Rey Banayas et al. (2009) concluded that restoration activities can increase biodiversity and the level of ecosystem services provided. However, such increases do not approach the amounts of biodiversity and ecosystem services performed by undisturbed reference sites. The ability to restore ecosystems to provide levels of functions and services similar to historic conditions or reference standard conditions is influenced by human impacts to watersheds and other types of landscapes (e.g., urbanization, agriculture) and to the processes that sustain those ecosystems (Zedler et al. 2012, Hobbs et al. 2014). Those changes need to be taken into account when establishing goals and objectives for restoration projects (Zedler et al. 2012), including compensatory mitigation projects. The ability to reverse ecosystem degradation to restore ecological functions and services is dependent on the degree of degradation of that ecosystem and the surrounding landscape, and whether that degradation is reversible (Hobbs et al. 2014).

As discussed in section 3.0, the status of waters and wetlands in the United States as reported under the provisions of Sections 303(d) and 305(b) of the Clean Water Act exhibits considerable variation, ranging from good to threatened to impaired. One of the criteria that district engineers consider when they evaluate proposed NWP activities is the “degree or magnitude to which the aquatic resources perform these functions” (see paragraph 1 of Section D, “District Engineer’s Decision.”) The quality of the affected waters is considered by district engineers when making decisions on whether to require compensatory mitigation for proposed NWP activities to ensure no more than minimal adverse environmental effects

(see 33 CFR 330.1(e)(3)), and amount of compensatory mitigation required (see 33 CFR 332.3(f)). The quality of the affected waters also factors into the determination of whether the required compensatory mitigation offsets the losses of aquatic functions caused by the NWP activity.

The compensatory mitigation required by district engineers in accordance with general condition 23 and activity-specific conditions will provide aquatic resource functions and services to offset some or all of the losses of aquatic resource functions caused by the activities authorized by this NWP, and reduce the contribution of those activities to the cumulative effects on the Nation's wetlands, streams, and other aquatic resources. The required compensatory mitigation must be conducted in accordance with the applicable provisions of 33 CFR part 332, which requires development and implementation of approved mitigation plans, as well as monitoring to assess ecological success in accordance with ecological performance standards established for the compensatory mitigation project. The district engineer will evaluate monitoring reports to determine if the compensatory mitigation project has fulfilled its objectives and is ecological successful. [33 CFR 332.6] If the monitoring efforts indicate that the compensatory mitigation project is failing to meet its objectives, the district engineer may require additional measures, such as adaptive management or alternative compensatory mitigation, to address the compensatory mitigation project's deficiencies. [33 CFR 332.7(c)]

According to Dahl (2011), during the period of 2004 to 2009 approximately 489,620 acres of former upland were converted to wetlands as a result of wetland reestablishment and establishment activities. Efforts to reestablish or establish wetlands have increased wetland acreage in the United States.

The individual and cumulative adverse effects on the aquatic environment resulting from the activities authorized by this NWP will be no more than minimal. The Corps expects that the convenience and time savings associated with the use of this NWP will encourage applicants to design their projects within the scope of the NWP, including its limits, rather than request individual permits for projects that could result in greater adverse impacts to the aquatic environment. Division and district engineers will restrict or prohibit this NWP on a regional or case-specific basis if they determine that these activities will result in more than minimal individual and cumulative adverse effects on the aquatic environment.

#### 7.2.3 Section 404(b)(1) Guidelines Impact Analysis, Subparts C through F

(a) Substrate: Discharges of dredged or fill material into waters of the United States will result in minor changes to the substrate of those waters, since the NWP authorizes activities that restore, establish, or enhance aquatic habitats. There will be beneficial changes to the physical, chemical, and biological characteristics of the substrate. The original substrate may be removed and replaced with material that will improve the growth and reproduction of vegetation or improve the aquatic habitat characteristics of the area. Temporary fills may be placed upon the substrate, but must be removed upon completion of the activity (see general condition 13). Some erosion may occur during construction, but general condition 12 requires the use of appropriate measures to control soil erosion and sediment.

(b) Suspended particulates/turbidity: Depending on the method of construction, soil erosion and sediment control measures, equipment, composition of the bottom substrate, and wind and current conditions during construction, fill material placed in open waters will temporarily increase water turbidity. Particulates will be resuspended in the water column during removal of temporary fills. The turbidity plume will normally be limited to the immediate vicinity of the disturbance and should dissipate shortly after each phase of the construction activity. General condition 12 requires the permittee to stabilize exposed soils and other fills, which will reduce turbidity. In many localities, sediment and erosion control plans are required to minimize the entry of soil into the aquatic environment. NWP activities cannot create turbidity plumes that smother important spawning areas downstream (see general condition 3).

(c) Water: The activities authorized by this NWP can affect some characteristics of water, such as water clarity, chemical content, dissolved gas concentrations, pH, and temperature, but these effects are likely to be positive, with benefits to the local aquatic environment. The chemical and physical characteristics of the waterbody may be changed by aquatic habitat restoration, establishment, or enhancement activities, but such changes should be improvements or negligible adverse effects. Changes in water quality can affect the species and quantities of organisms inhabiting the aquatic area. Water quality certification is required for activities authorized by this NWP, which will ensure that those activities do not violate applicable water quality standards. The establishment of riparian vegetation will help improve or maintain water quality, by removing nutrients, moderating water temperature changes, and trapping sediments.

(d) Current patterns and water circulation: Activities authorized by this NWP may adversely affect the movement of water in the aquatic environment. Since certain activities authorized by this NWP require pre-construction notification and others require reporting, the district engineer will have an opportunity to review the proposed activity and assess potential impacts on current patterns and water circulation. The installation of water control structures and habitat features may affect current patterns and water circulation, but the adverse effects are likely to be minor. General condition 9 requires the authorized activity to be designed to withstand expected high flows and to maintain the course, condition, capacity, and location of open waters to the maximum extent practicable.

(e) Normal water level fluctuations: The activities authorized by this NWP will have negligible adverse effects on normal water level fluctuations. Some activities may involve the construction of water control structures, which will alter the water level fluctuations of non-tidal waters. This NWP does not authorize the conversion of tidal waters to other aquatic uses, which will prevent adverse effects to tidal fluctuations in the area. General condition 9 requires the permittee to maintain the pre-construction course, condition, capacity, and location of open waters, to the maximum extent practicable.

(f) Salinity gradients: The activities authorized by this NWP are unlikely to adversely affect salinity gradients, since the NWP authorizes the restoration, establishment, or enhancement of aquatic resources, but does not authorize the relocation or conversion of tidal waters.

These activities will not cause changes to salinity gradients.

(g) Threatened and endangered species: The NWP's do not authorize activities that will jeopardize the continued existence of species listed as endangered or threatened under the Endangered Species Act of 1973, as amended. In addition, the NWP's do not authorize activities that will destroy or adversely modify critical habitat of those species. See 33 CFR 330.4(f) and paragraph (a) of general condition 18. For NWP activities, compliance with the Endangered Species Act is discussed in more detail in section 6.0 of this document.

(h) Fish, crustaceans, molluscs, and other aquatic organisms in the food web. The activities authorized by this NWP will benefit most species of fish, crustaceans, molluscs, and other aquatic organisms in the food web. Some species may be adversely affected by changes in habitat characteristics that may occur as a result of activities authorized by this NWP. These activities will increase or improve the habitat for these species, which will increase populations of those organisms. Certain activities require pre-construction notification and others require reporting; therefore the district engineer will review the proposed activity and assess potential impacts on fish and other aquatic organisms and ensure that those impacts are no more than minimal. Fish and other motile animals will avoid the project site during construction. Sessile or slow-moving animals in the path of discharges, equipment, and building materials will be destroyed. Some aquatic animals may be smothered by the placement of fill material. Motile animals will return to those areas that are temporarily impacted by the activity and restored or allowed to revert back to pre-construction conditions. Benthic and sessile animals are expected to recolonize sites after construction. Activities that alter the riparian zone, especially floodplains, may adversely affect populations of fish and other aquatic animals, by altering stream flow, flooding patterns, and surface and groundwater hydrology. The activities authorized by this NWP may favor certain riparian species at the detriment of other riparian species. Some species of fish spawn on floodplains, which could be prevented if the authorized activity causes substantial adverse changes to floodplain habitat. The removal of invasive non-native plant species will benefit aquatic organisms in the food web.

Division and district engineers can place conditions on this NWP to prohibit discharges during important stages of the life cycles of certain aquatic organisms. Such time of year restrictions can prevent adverse effects to these aquatic organisms during reproduction and development periods. General conditions 3 and 5 address protection of spawning areas and shellfish beds, respectively. General condition 3 states that activities in spawning areas during spawning seasons must be avoided to the maximum extent practicable. In addition, general condition 3 also prohibits activities that result in the physical destruction of important spawning areas. General condition 5 prohibits activities in areas of concentrated shellfish populations. General condition 9 requires the maintenance of pre-construction course, condition, capacity, and location of open waters to the maximum extent practicable, which will help minimize adverse impacts to fish, shellfish, and other aquatic organisms in the food web.

(i) Other wildlife: Activities authorized by this NWP will benefit other wildlife associated with aquatic ecosystems, such as resident and transient mammals, birds, reptiles, and

amphibians, through the restoration, establishment, or enhancement of aquatic habitat, including breeding and nesting areas, escape cover, travel corridors, and preferred food sources. However, certain species may benefit from these changes while other species may be displaced by the destruction of specialized habitat. This NWP does not authorize activities that jeopardize the continued existence of Federally-listed endangered and threatened species or result in the destruction or adverse modification of critical habitat. General condition 4 states that activities in breeding areas for migratory birds must be avoided to the maximum extent practicable.

(j) Special aquatic sites: The potential impacts to specific special aquatic sites are discussed below:

(1) Sanctuaries and refuges: The activities authorized by this NWP will have no more than minimal adverse effects on waters of the United States within sanctuaries or refuges designated by Federal or state laws or local ordinances. General condition 22 requires submittal of a pre-construction notification prior to the use of this NWP in NOAA-designated marine sanctuaries and marine monuments and National Estuarine Research Reserves. District engineers will exercise discretionary authority and require individual permits for specific projects in waters of the United States in sanctuaries and refuges if those activities will result in more than minimal adverse effects on the aquatic environment.

(2) Wetlands: The activities authorized by this NWP will have beneficial effects on wetlands. The quantity and quality of wetlands in a watershed will be improved by the activities authorized by this NWP. District engineers will review pre-construction notifications and reported activities to ensure that the adverse effects on the aquatic environment are no more than minimal. Division engineers can regionally condition this NWP to restrict or prohibit its use in certain high value wetlands. See paragraph (e) of section 5.1 for a more detailed discussion of impacts to wetlands.

(3) Mud flats: The activities authorized by this NWP may result in the loss of mud flats if tidal wetlands are reestablished where mud flats are located. Such adverse effects are likely to be minor. Pre-construction notification or reporting is required for all activities authorized by this NWP, and the district engineer will have an opportunity to review the proposed activity and determine if the adverse effects on the aquatic environment are more than minimal.

(4) Vegetated shallows: The activities authorized by this NWP may affect vegetated shallows in non-tidal waters, if the project proponent wants to change aquatic habitat types in those areas. This NWP does not authorize the conversion of tidal waters to other aquatic uses, although it does authorize re-establishment of tidal wetlands in tidal waters where those wetlands previously existed. For those activities authorized by this NWP that require pre-construction notification, the district engineer will have an opportunity to review the proposed activity and assess potential impacts on vegetated shallows and ensure that the adverse effects are no more than minimal. Division engineers can also regionally condition this NWP to prohibit conversion of non-tidal vegetated shallows.

(5) Coral reefs: The activities authorized by this NWP will have no more than minimal adverse effects on coral reefs, since the NWP does not authorize the conversion of tidal waters to other uses. Activities authorized by this NWP may involve the restoration or enhancements of wetlands and streams that benefit coral reefs by reducing the amount of sediment or nutrients that are transported to marine waters and indirectly affect corals.

(6) Riffle and pool complexes: Stream restoration and enhancement activities authorized by this NWP may affect riffle and pool complexes, but the adverse effects will be no more than minimal because stream restoration and enhancement activities improve habitat characteristics. The district engineer will review pre-construction notifications and reported activities to determine if proposed activities will result in no more than minimal adverse effects on the aquatic environment. If the riffle and pool complexes are high value and the activity will result in more than minimal adverse effects on the aquatic environment, the district engineer will exercise discretionary authority to require the project proponent to obtain an individual permit.

(k) Municipal and private water supplies: See paragraph (n) of section 5.1 for a discussion of potential impacts to water supplies.

(l) Recreational and commercial fisheries, including essential fish habitat: The activities authorized by this NWP may adversely affect waters of the United States that act as habitat for populations of economically important fish and shellfish species. Division and district engineers can condition this NWP to prohibit discharges during important life cycle stages, such as spawning or development periods, of economically valuable fish and shellfish. In response to a pre-construction notification, the district engineer which will review the activity to ensure that adverse effects to economically important fish and shellfish are no more than minimal. Compliance with general conditions 3 and 5 will ensure that the authorized activity does not adversely affect important spawning areas or concentrated shellfish populations. As discussed in paragraph (g) of section 5.1, there are procedures to help ensure that individual and cumulative impacts to essential fish habitat are no more than minimal. For example, division and district engineers can impose regional and special conditions to ensure that activities authorized by this NWP will result in no more than minimal adverse effects on essential fish habitat.

(m) Water-related recreation: See paragraph (m) of section 5.1 above.

(n) Aesthetics: See paragraph (c) of section 5.1 above.

(o) Parks, national and historical monuments, national seashores, wilderness areas, research sites, and similar areas: General condition 22 requires submittal of a pre-construction notification prior to the use of this NWP in designated critical resource waters and adjacent wetlands, which may be located in parks, national and historical monuments, national seashores, wilderness areas, and research sites. This NWP can be used to authorize activities in parks, national and historical monuments, national seashores, wilderness areas, and research sites if the manager or caretaker wants to conduct activities in waters of the United States and those activities result in no more than minimal adverse effects on the

aquatic environment. Division engineers can regionally condition the NWP to prohibit its use in designated areas, such as national wildlife refuges or wilderness areas.

## **8.0 Determinations**

### ***8.1 Finding of No Significant Impact***

Based on the information in this document, the Corps has determined that the issuance of this NWP will not have a significant impact on the quality of the human environment. Therefore, the preparation of an Environmental Impact Statement is not required.

### ***8.2 Public Interest Determination***

In accordance with the requirements of 33 CFR 320.4, the Corps has determined, based on the information in this document, that the issuance of this NWP is not contrary to the public interest.

### ***8.3 Section 404(b)(1) Guidelines Compliance***

This NWP has been evaluated for compliance with the 404(b)(1) Guidelines, including Subparts C through G. Based on the information in this document, the Corps has determined that the discharges authorized by this NWP comply with the 404(b)(1) Guidelines, with the inclusion of appropriate and practicable conditions, including mitigation, necessary to minimize adverse effects on affected aquatic ecosystems. The activities authorized by this NWP will result in no more than minimal individual and cumulative adverse effects on the aquatic environment.

### ***8.4 Section 176(c) of the Clean Air Act General Conformity Rule Review***

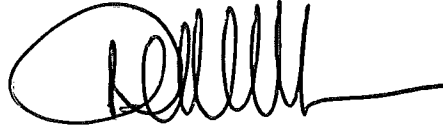
This NWP has been analyzed for conformity applicability pursuant to regulations implementing Section 176(c) of the Clean Air Act. It has been determined that the activities authorized by this permit will not exceed *de minimis* levels of direct emissions of a criteria pollutant or its precursors and are exempted by 40 CFR 93.153. Any later indirect emissions are generally not within the Corps continuing program responsibility and generally cannot



be practicably controlled by the Corps. For these reasons, a conformity determination is not required for this NWP.

FOR THE COMMANDER

Dated: 21 Dec 2016

A handwritten signature in black ink, consisting of a large, stylized initial 'D' followed by several vertical strokes and a horizontal line extending to the right.

Donald E. Jackson  
Major General, U.S. Army  
Deputy Commanding General  
for Civil and Emergency Operations

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